### **RCCL**

### Residential Child Care Licensing Overview

The Texas Department of Family and Protective Services is granted its authority to regulate child care facilities and child placing agencies by the Texas Legislature in Chapter 42 of the Texas Human Resources Code.

The purpose of regulation is to protect a group or class of children by establishing and enforcing statewide minimum standards.

#### Paid Expenditures and Total Average **Filled FTE Staff**

| Total Staff                 | 168.3          |
|-----------------------------|----------------|
| Direct Delivery Total Staff | 162.6          |
| Caseworkers                 | 119.0          |
| Supervisors                 | 22.4           |
| Clerical                    | 14.4           |
| Other                       | 12.5           |
| Staff Costs                 | \$8,745,272.45 |

#### **Worker Demographics**

| Turnover Rate   | 13.9%   |
|---|---|
| Tenure:   |   |
| Less Than 1 Year  | 5.9%  |
| 1 - 3 Years   | 41.2%   |
| Greater than 3 Years  | 52.9%   |
| Entry Salary (Inv)  | \$36,355.68   |
| Entry Salary (Non-Inv)  | \$32,610.96   |
| Average Age   | 39.6  |
| Race/Ethnicity:   |   |
| African-American  | 37.0%   |
| Anglo   | 39.5%   |
| Hispanic  | 21.8%   |
| Other   | 1.7%  |
|   |   |
| Supervisor Demographics   |   |
| Supervisor Demographics Turnover Rate   | 4.4%  |
|   | 4.4%  |
| Turnover Rate   | 4.4%<br>4.5%  |
| Turnover Rate<br>Tenure:  |   |
| Turnover Rate<br>Tenure:<br>Less Than 1 Year  | 4.5%  |
| Turnover Rate<br>Tenure:<br>Less Than 1 Year<br>1 - 3 Years   | 4.5%<br>9.1%  |
| Turnover Rate Tenure: Less Than 1 Year 1 - 3 Years Greater than 3 Years   | 4.5%<br>9.1%<br>86.4%                                 |
| Turnover Rate Tenure: Less Than 1 Year 1 - 3 Years Greater than 3 Years Entry Salary  | 4.5%<br>9.1%<br>86.4%<br>\$43,673.00                  |
| Turnover Rate Tenure: Less Than 1 Year 1 - 3 Years Greater than 3 Years Entry Salary Average Age  | 4.5%<br>9.1%<br>86.4%<br>\$43,673.00                  |
| Turnover Rate Tenure: Less Than 1 Year 1 - 3 Years Greater than 3 Years Entry Salary Average Age Race/Ethnicity:                                  | 4.5%<br>9.1%<br>86.4%<br>\$43,673.00<br>40.2          |
| Turnover Rate Tenure:     Less Than 1 Year     1 - 3 Years     Greater than 3 Years Entry Salary Average Age Race/Ethnicity:     African-American | 4.5%<br>9.1%<br>86.4%<br>\$43,673.00<br>40.2<br>45.5% |

#### Application/Permit Issuance

- Orientation
- Inspection
- Background Check
- Technical Assistance
- Fees
- **Initial Permit**
- Non-expiring Permit

### Monitoring/Investigation

Routine Action

#### Complaint **Based Action**

#### Monitoring

- Assess Risk
- Annual Unannounced Inspections
- Biennial Background Checks
- Random Sampling of **CPA Foster Homes**
- Technical assistance

#### Investigation

- Assess Risk
- Abuse, Neglect, or exploitation
- Minimum Standards violations, including serious incidents

#### **Deficiencies Found?** Reports Validated?

#### **Due Process**

#### **Enforcement / Remedial Action**

- Document Inspection
- Share results with facility and the public via CLASS database
- Require correction to reduce risk
- Verify compliance
- Increase monitoring frequency as necessary

#### Types of Remedial Action

- Corrective Action
- Probation/Evaluation
- Fines/Penalties
- **Denials**
- Suspension Revocation

Note: The chart is for reference only and does **Due Process** not necessarily represent the flow of a case.

#### Statistics FY 2010

| 24-Hour Care Facilities          | 10,322 |
|----------------------------------|--------|
| Capacity of Facilities           | 42,722 |
| Abuse/Neglect Investigations     | 1,751  |
| Non-Abuse/Neglect Investigations | 2,938  |
| Inspections                      | 10,488 |
| Adverse Actions                  | 1      |

#### Legal Responsibility for Child-Care Licensing

Day Care and Residential Care: Statutory References Social Security Act Human Resources Code, Chapters 40, 42, and 43 Texas Family Code, Title 5 Texas Government Code Texas Health and Safety Code, Chapter 249 Texas Administrative Code, Title 40

#### **Major Provisions:**

- Develop minimum standards to promote the health, safety and well being of children in out-ofhome care.
- Inspect child-care operations to ensure they maintain compliance with minimum standards.
- Investigate allegations of abuse/neglect, violations of standards violations or the law and ensure appropriate corrective or adverse actions are taken.
- Conduct criminal background checks and DFPS central registry checks on caregivers, other adults and youth age 14 – 18 who will be in frequent contact with children in child-care operations excluding children in care.
- Offer consultation to potential applicants and permit holders about meeting and maintaining compliance with Licensing standards, and achieving programs of excellence.
- Educate and advise consumers of child-care operations by providing the operations' compliance history.
- Enforce regulatory requirements for illegally operating child-care providers.
- Educate the public about choosing regulated child care.

### Other Programmatic Information: Challenges

- Appropriately and consistently enforcing minimum standards across the state.
- Developing inclusive, appropriate and effective relationships with child-care operations resulting in increased compliance and stronger protection for children.
- Providing technical assistance to child care operations struggling to manage behavior problems exhibited by children.
- Providing thorough, efficient and timely background check results to over a quarter of a million people seeking to work in child care, foster care or seeking to adopt a child.
- Maximizing Technology resources to improve the efficiency and effectiveness of regulation.
- Balancing issues of child safety with the need for the least restrictive environment for children in CPS conservatorship, and
- Adopting standards to protect the health and safety children in care without impacting the affordability and availability of that care.

# Fiscal Year 2004 and 2010 Pre- and Post-Reform Statistics by Region (RCCL)

| 2004  | Region 1 -<br>Lubbock     | 2010     |          | 200                     | 4       | Region 2 -<br>Abilene | 2010   |                               |              |          | 2004     |
|-------|---------------------------|----------|----------|-------------------------|---------|-----------------------|--|-------------------------------|--------------|----------|----------|
|       | Turnover                  | 0.0%     |          | 0.09                    | %       | Turnover              | 14.3%  |                               |              |          | 0.0%     |
|       | Average Base<br>Salary    | \$38,003 |          | \$0                     | A       | verage Base<br>Salary | \$37,434   |                               |              | :        | \$39,191 |
|       | Inspections               | 505      |          | 38                      |         | Inspections           | 332  |                               |              |          | 341      |
| 9     | Investigations            | 206      |          | 134                     | 4 Ir    | nvestigations         | 156  |                               |              | 4        | 36       |
|       | _                         |          |          |                         |         |                       |  |                               |              |          |          |
| 2004  | Region 9 -<br>Midland     | 2010     |          | 200                     | 4       | Region 7 -<br>Austin  | 2010   |                               |              | 2004     | 1        |
| .0%   | Turnover                  | 0.0%     |          | 26.7                    | %       | Turnover              | 11.9%  |                               |              | 0.0%     | 6        |
| \$0   | Average Base<br>Salary    | \$47,627 |          | \$34,3                  | 351 A   | verage Base<br>Salary | \$35,920   |                               |              | \$32,5   | 01       |
| 24    | Inspections               | 133      |          | 396                     | 5       | Inspections           | 1,390  |                               |              | 95       |          |
| 87    | Investigations            | 61       |          | 603                     | 3 Ir    | nvestigations         | 618  |                               |              | 139      |          |
| 2004  | Region 10 - El<br>Paso    | 2010     |          |                         |         |                       |  |                               |              | 2004     |          |
| 0.0%  | Turnover                  | 0.0%     |          |                         |         |                       |  |                               |              | 100.0%   | 1        |
| 2,865 | Average Base<br>Salary    | \$40,481 |          |                         | 1       |                       |  |                               |              | \$34,518 |          |
| 38    | Inspections               | 254      |          |                         |         |                       | ~~~~   | MATTER                        | _            | 57       |          |
| 47    | Investigations            | 102      |          |                         |         | $_{2}$                | ָן ע <u>ַ</u>  | $\frac{1}{3}$                 |              | 62       |          |
| 2004  | Region 8 - San<br>Antonio | 2010     |          | T                       | 9       | لم                    | 7  | 1 2 5 5 S                     | )  <br>T     | 2004     |          |
| 88.1% | Turnover                  | 0.0%     |          | ) 10                    | . –     |                       | ٦  | یما لر                        | }            | 0.0%     |          |
| 4,462 | Average Base<br>Salary    | \$37,911 |          |                         | بلحر    |                       | ~~   | 6 53                          | كمسا         | \$36,337 |          |
| 337   | Inspections               | 1,686    |          |                         |         | 8                     | <b>A</b>   |                               |              | 660      |          |
| 367   | Investigations            | 787      |          |                         |         |                       | $\prod_{i} \sum_{j} \sum_{i} \sum_{j} \sum_{j} \sum_{i} \sum_{j} \sum_{i} \sum_{j} \sum_{i} \sum_{j} \sum_{i} \sum_{j} \sum_{i} \sum_{j} \sum_{j$ | 33                            |              | 991      |          |
|       |                           |          |          |                         |         |                       | 11   | •                             |              |          |          |
|       |                           |          | 2004     | Region 11 -<br>Edinburg | 2010    |                       | 2004   | Statewide<br>Totals           | 20           | 10       |          |
|       |                           |          | 0.0%     | Turnover                | 0.0%    |                       | 33.8   | Caseworkers*                  | 119          |          |          |
|       |                           | :        | \$33,926 | Average Base<br>Salary  | \$38,69 | 5                     | 12.9%<br>36,499  | Turnover  Average Base Salary | 13.<br>\$37, |          |          |
|       |                           |          | 132      | Inspections             | 816     |                       | ,50,477  | Salary                        | <b>337</b> , | -00      |          |
|       |                           |          | 339      | Investigations          | 348     |                       | 2,213  | Inspections                   | 10,4         | 473      |          |
|       |                           |          |          | •                       |         |                       |  |                               |              |          |          |

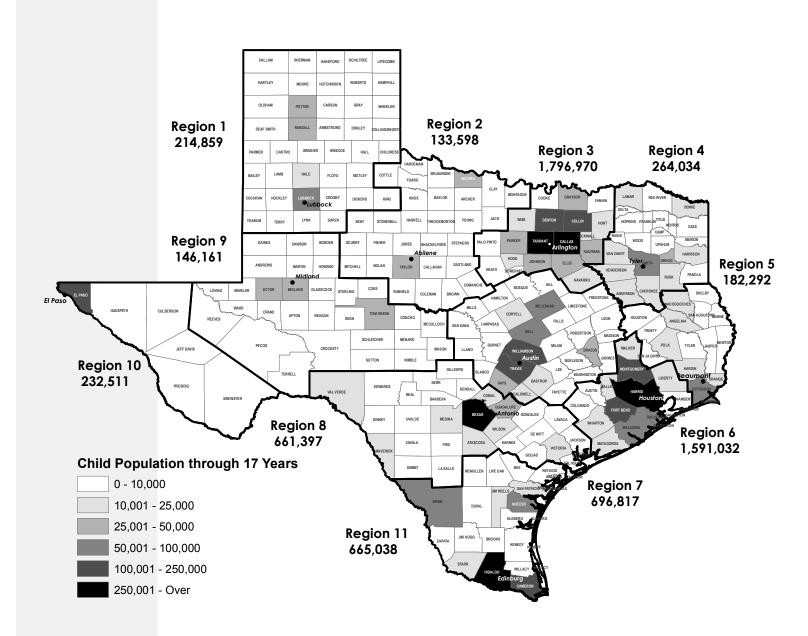
Note: The State Total for Inspections and Investigations includes those where the Region was Unknown and/or Out of State.

Note: The State Total for Inspections and Investigations does not include Illegal Operations.

<sup>\*</sup> RCCL workers report to a state office division and are not regionally allocated.

#### Texas Child Population Ages Birth through 17 Years Fiscal Year 2010

State Total: 6,584,709



Population Data Source: Texas State Data Center, University of Texas (San Antonio)

#### Residential Child Care Licensing Operations\* in Texas as of August 31

| Year | Child Placing<br>Agencies<br>(CPA)** | Homes<br>Verified by<br>CPAs | General<br>Residential<br>Operations | Independent<br>Foster Family<br>and Group<br>Homes | Residential<br>Treatment<br>Centers | Maternity<br>Homes | Total Licensed<br>Residential |
|------|--------------------------------------|------------------------------|--------------------------------------|--|-------------------------------------|--------------------|-------------------------------|
| 2006 | 304                                  | 9,842                        | 140                                  | 20   | 91                                  | 15                 | 10,412                        |
| 2007 | 332                                  | 9,438                        | 140                                  | 15   | 87                                  | 16                 | 10,028                        |
| 2008 | 331                                  | 9,518                        | 144                                  | 10   | 90                                  | 13                 | 10,106                        |
| 2009 | 334                                  | 9,761                        | 150                                  | 14   | 89                                  | 13                 | 10,361                        |
| 2010 | 341                                  | 9,731                        | 154                                  | 7  | 79                                  | 10                 | 10,322                        |

<sup>\*</sup> Counts do not include Applicants

#### Residential Child Care Licensing Operations in Texas as of August 31

|   | 20     | 09       | 2010   |          |  |
|---|--------|----------|--------|----------|--|
| Child Placing Agencies*                           | Count  | Capacity | Count  | Capacity |  |
| Main Offices                                      | 204    | NA       | 200    | N.A      |  |
| Branch Offices**                                  | 130    | NA       | 141    | N/       |  |
| Subtotal  | 334    | NA       | 341    | N        |  |
| Homes Verified by Child Placing Agencies          | Count  | Capacity | Count  | Capacity |  |
| Agency Foster Homes ***                           | 6,602  | 22,350   | 6,741  | 22,63    |  |
| Agency Group Homes ***                            | 440    | 3,974    | 436    | 3,89     |  |
| CPS Foster Family Homes                           | 1,754  | 5,239    | 1,732  | 5,15     |  |
| CPS Foster Group Homes                            | 27     | 188      | 22     | 13       |  |
| CPS Adoptive Homes                                | 938    | N/A      | 800    | N/.      |  |
| Subtotal  | 9,761  | 31,751   | 9,731  | 31,81    |  |
| Licensed Residential Operations                   | Count  | Capacity | Count  | Capacit  |  |
| General Residential Operations                    | 150    | 7,217    | 154    | 7,05     |  |
| Independent Foster Homes                          | 3      | 14       | 1      |          |  |
| ndependent Foster Group Homes                     | 11     | 106      | 6      | 6        |  |
| Residential Treatment Centers                     | 89     | 3,838    | 79     | 3,63     |  |
| Maternity Homes                                   | 13     | 181      | 10     | 15       |  |
| Subtotal  | 266    | 11,356   | 250    | 10,90    |  |
| Total Residential Child Care Licensina Operations | 10.361 | 43.107   | 10.322 | 42.72    |  |

<sup>\*</sup> Includes 11 DFPS Regional Child Placing Agencies

<sup>\*\*</sup> Counts include Branch Offices

<sup>\*\*</sup> Branch Offices operate under the license authority of the main office.

<sup>\*\*\*</sup> Adoptive only homes are not included in the number of private agency homes.

#### Residential Child Care Licensing Operations Regulated by Fiscal Year

#### **Child Placing Agencies**

|                      | Me   | ain  | Bra  | nch  |            |      |
|----------------------|------|------|------|------|------------|------|
| Region               | Off  | ices | Offi | ices | Total CPAs |      |
|                      | 2009 | 2010 | 2009 | 2010 | 2009       | 2010 |
| 1 Lubbock            | 10   | 10   | 8    | 11   | 18         | 21   |
| 2 Abilene            | 6    | 6    | 13   | 13   | 19         | 19   |
| 3 Arlington          | 68   | 63   | 21   | 21   | 89         | 84   |
| 4 Tyler              | 5    | 6    | 9    | 9    | 14         | 15   |
| 5 Beaumont           | 4    | 4    | 5    | 6    | 9          | 10   |
| 6 Houston            | 36   | 36   | 17   | 19   | 53         | 55   |
| 7 Austin             | 35   | 33   | 15   | 19   | 50         | 52   |
| 8 San Antonio        | 29   | 30   | 14   | 15   | 43         | 45   |
| 9 Midland            | 2    | 3    | 3    | 4    | 5          | 7    |
| 10 El Paso           | 4    | 4    | 5    | 5    | 9          | 9    |
| 11 Edinburg          | 5    | 5    | 20   | 19   | 25         | 24   |
| Unknown/Out Of State | 0    | 0    | 0    | 0    | 0          | 0    |
| Total                | 204  | 200  | 130  | 141  | 334        | 341  |

#### **Homes Verified by Child Placing Agencies**

| Region               | Age<br>Foster I | =     | Age<br>Group | -    | CPS Foster<br>Homes |       |
|----------------------|-----------------|-------|--------------|------|---------------------|-------|
|                      | 2009            | 2010  | 2009         | 2010 | 2009                | 2010  |
| 1 Lubbock            | 280             | 296   | 14           | 11   | 159                 | 150   |
| 2 Abilene            | 183             | 177   | 19           | 20   | 103                 | 90    |
| 3 Arlington          | 1,653           | 1,684 | 93           | 117  | 382                 | 373   |
| 4 Tyler              | 275             | 300   | 15           | 15   | 122                 | 112   |
| 5 Beaumont           | 150             | 172   | 18           | 19   | 110                 | 125   |
| 6 Houston            | 1,384           | 1,416 | 70           | 58   | 338                 | 345   |
| 7 Austin             | 963             | 998   | 69           | 57   | 161                 | 166   |
| 8 San Antonio        | 997             | 1,006 | 64           | 65   | 192                 | 172   |
| 9 Midland            | 97              | 108   | 13           | 13   | 46                  | 56    |
| 10 El Paso           | 180             | 152   | 8            | 9    | 43                  | 42    |
| 11 Edinburg          | 439             | 431   | 57           | 52   | 78                  | 68    |
| Unknown/Out Of State | 1               | 1     | 0            | 0    | 20                  | 33    |
| Total                | 6,602           | 6,741 | 440          | 436  | 1,754               | 1,732 |

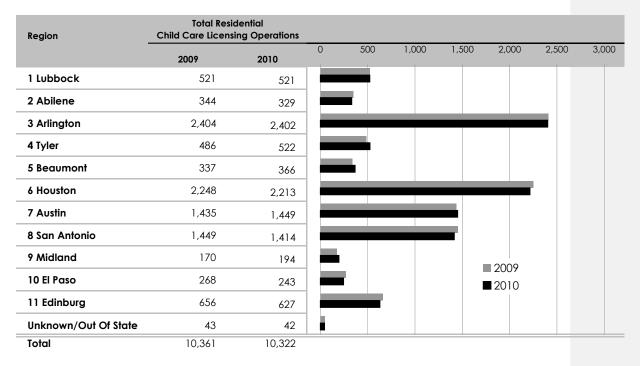
| Region               | CPS Fo |      | CPS Ad | loptive<br>nes | Subtotal - Total Homes<br>Verified by CPAs |       |  |
|----------------------|--------|------|--------|----------------|--|-------|--|
| _                    | 2009   | 2010 | 2009   | 2010           | 2009                                       | 2010  |  |
| 1 Lubbock            | 4      | 3    | 30     | 25             | 487  | 485   |  |
| 2 Abilene            | 0      | 0    | 12     | 15             | 317  | 302   |  |
| 3 Arlington          | 4      | 4    | 149    | 109            | 2,281                                      | 2,287 |  |
| 4 Tyler              | 0      | 0    | 47     | 69             | 459  | 496   |  |
| 5 Beaumont           | 0      | 0    | 42     | 33             | 320  | 349   |  |
| 6 Houston            | 9      | 6    | 317    | 262            | 2,118                                      | 2,087 |  |
| 7 Austin             | 2      | 2    | 150    | 136            | 1,345                                      | 1,359 |  |
| 8 San Antonio        | 4      | 5    | 105    | 77             | 1,362                                      | 1,325 |  |
| 9 Midland            | 1      | 1    | 4      | 5              | 161  | 183   |  |
| 10 El Paso           | 1      | 0    | 20     | 25             | 252  | 228   |  |
| 11 Edinburg          | 2      | 1    | 40     | 36             | 616  | 588   |  |
| Unknown/Out Of State | 0      | 0    | 22     | 8              | 43   | 42    |  |
| Total                | 27     | 22   | 938    | 800            | 9,761                                      | 9,731 |  |

#### Residential Child Care Licensing Operations Regulated by Fiscal Year

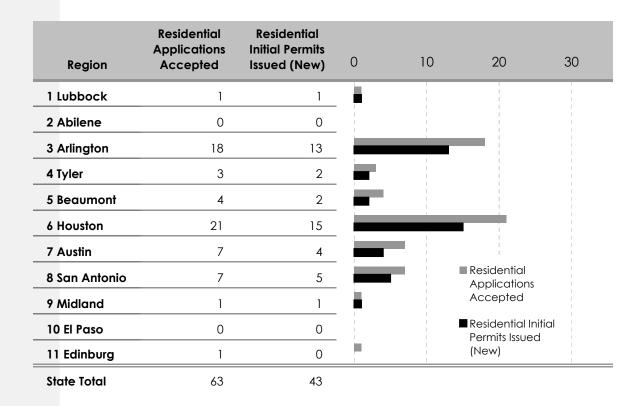
#### **Licensed Residential Operations**

| Region               | Resider | General<br>Residential<br>Operations |      | dent<br>mily<br>es | Independent<br>Foster Group<br>Homes |      |
|----------------------|---------|--------------------------------------|------|--------------------|--------------------------------------|------|
|                      | 2009    | 2010                                 | 2009 | 2010               | 2009                                 | 2010 |
| 1 Lubbock            | 13      | 12                                   | 0    | 0                  | 0                                    | 0    |
| 2 Abilene            | 6       | 6                                    | 0    | 0                  | 0                                    | 0    |
| 3 Arlington          | 23      | 22                                   | 1    | 0                  | 0                                    | 0    |
| 4 Tyler              | 7       | 6                                    | 0    | 0                  | 0                                    | 0    |
| 5 Beaumont           | 5       | 5                                    | 0    | 0                  | 0                                    | 0    |
| 6 Houston            | 21      | 28                                   | 1    | 0                  | 9                                    | 6    |
| 7 Austin             | 22      | 23                                   | 0    | 0                  | 2                                    | 0    |
| 8 San Antonio        | 30      | 30                                   | 1    | 1                  | 0                                    | 0    |
| 9 Midland            | 4       | 4                                    | 0    | 0                  | 0                                    | 0    |
| 10 El Paso           | 6       | 5                                    | 0    | 0                  | 0                                    | 0    |
| 11 Edinburg          | 13      | 13                                   | 0    | 0                  | 0                                    | 0    |
| Unknown/Out Of State | 0       | 0                                    | 0    | 0                  | 0                                    | 0    |
| Total                | 150     | 154                                  | 3    | 1                  | 11                                   | 6    |

| Region               | Resider<br>Treatment ( |      | Maternity | Homes | Subtotal - Licensed<br>Residential Operations |      |
|----------------------|------------------------|------|-----------|-------|---|------|
|                      | 2009                   | 2010 | 2009      | 2010  | 2009  | 2010 |
| 1 Lubbock            | 2                      | 2    | 1         | 1     | 16  | 15   |
| 2 Abilene            | 1                      | 1    | 1         | 1     | 8   | 8    |
| 3 Arlington          | 6                      | 7    | 4         | 2     | 34  | 31   |
| 4 Tyler              | 5                      | 4    | 1         | 1     | 13  | 11   |
| 5 Beaumont           | 3                      | 2    | 0         | 0     | 8   | 7    |
| 6 Houston            | 44                     | 36   | 2         | 1     | 77  | 71   |
| 7 Austin             | 14                     | 13   | 2         | 2     | 40  | 38   |
| 8 San Antonio        | 12                     | 12   | 1         | 1     | 44  | 44   |
| 9 Midland            | 0                      | 0    | 0         | 0     | 4   | 4    |
| 10 El Paso           | 1                      | 1    | 0         | 0     | 7   | 6    |
| 11 Edinburg          | 1                      | 1    | 1         | 1     | 15  | 15   |
| Unknown/Out Of State | 0                      | 0    | 0         | 0     | 0   | 0    |
| Total                | 89                     | 79   | 13        | 10    | 266   | 250  |

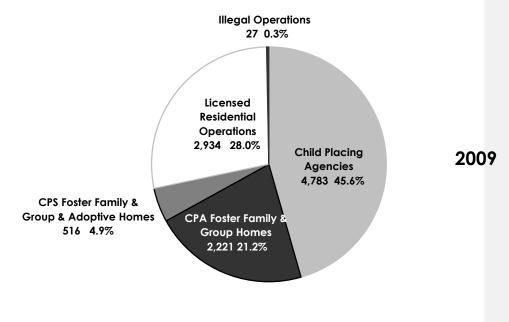


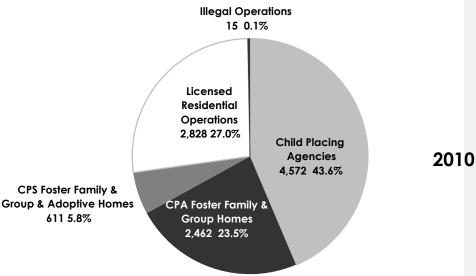
# Licensing of Residential Child Care Operations by Region Fiscal Year 2010



Note: Does not include homes verified by CPA's.

#### Inspections in Residential Child Care Licensing Operations





| Facility Type                   | 2009   | 2010   |
|---------------------------------|--------|--------|
| Child Placing Agencies          | 4,783  | 4,572  |
| CPA Foster/ Group Agency Homes  | 2,221  | 2,462  |
| CPS Foster and Adoptive Homes   | 516    | 611    |
| Licensed Residential Operations | 2,934  | 2,828  |
| Illegal Operations              | 27     | 15     |
| Total                           | 10,481 | 10,488 |

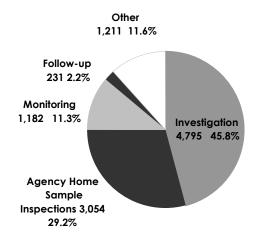
Note: RCCL inspects agency foster homes for two reasons (1) to conduct an investigation; or (2) to determine whether the CPA managing the foster homes is compliant in its verification, monitoring and management of the foster home and the children in care. Foster home inspections for the latter purpose are periodically selected at random from across the state from the DFPS database for inspection.

# Inspections in Residential Child Care Licensing Operations by Type of Inspection Fiscal Year 2010

**Total Inspections: 10,488** 

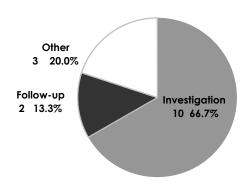
**Residential Child Care Licensing Operations** 

| Inspection Type                | Number |
|--------------------------------|--------|
| Investigation                  | 4,795  |
| Agency Home Sample Inspections | 3,054  |
| Monitoring                     | 1,182  |
| Follow-up                      | 231    |
| Other                          | 1,211  |
| Total                          | 10,473 |



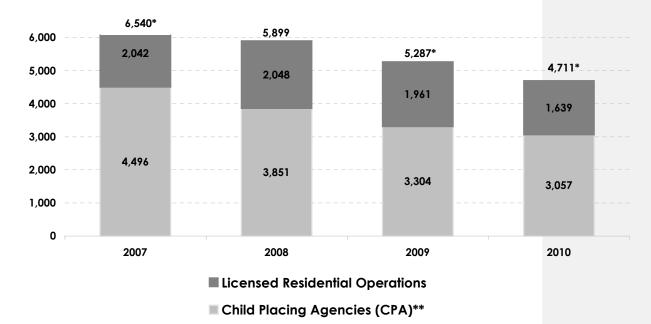
#### **Illegal Operations**

| Inspection Type | Number |
|-----------------|--------|
| Investigation   | 10     |
| Monitoring      | 0      |
| Follow-up       | 2      |
| Other           | 3      |
| Total           | 15     |



Note: RCCL inspects agency foster homes for two reasons (1) to conduct an investigation; or (2) to determine whether the the foster homes is compliant in its verification, monitoring and management of the foster home and the children in care. Foster home inspections for the latter purpose are periodically selected at random from across the state from the DFPS database for inspection.

#### Investigations in Residential Child Care Licensing Operations



<sup>\*</sup> Totals include investigations of Illegal Operations

# Investigations in Residential Child Care Licensing Operations Fiscal Year 2010

| Region        | Child Placing<br>Agencies | Licensed Residential<br>Operations | Illegal<br>Operations Inv | Total<br>restigations |
|---------------|---------------------------|------------------------------------|---------------------------|-----------------------|
| 1 Lubbock     | 134                       | 72                                 | 0                         | 206                   |
| 2 Abilene     | 145                       | 11                                 | 0                         | 156                   |
| 3 Arlington   | 776                       | 102                                | 2                         | 880                   |
| 4 Tyler       | 99                        | 71                                 | 0                         | 170                   |
| 5 Beaumont    | 86                        | 39                                 | 0                         | 125                   |
| 6 Houston     | 648                       | 597                                | 3                         | 1,248                 |
| 7 Austin      | 346                       | 272                                | 1                         | 619                   |
| 8 San Antonio | 419                       | 368                                | 3                         | 790                   |
| 9 Midland     | 42                        | 19                                 | 1                         | 62                    |
| 10 El Paso    | 90                        | 12                                 | 0                         | 102                   |
| 11 Edinburg   | 272                       | 76                                 | 3                         | 351                   |
| Other/Unknown | 0                         | 0                                  | 2                         | 2                     |
| Total         | 3,057                     | 1,639                              | 15                        | 4,711                 |

<sup>\*\*</sup> Includes homes regulated by Child Placing Agencies

# Investigations in Residential Child Care Licensing Operations Fiscal Year 2010

|   | Total Number                           | Number of Abuse/Neglect<br>Investigations |       | Number of<br>Non Abuse/Neglect<br>Investigations |                      |       |   |
|---|--|---|-------|--|----------------------|-------|---|
| Operation Type                            | Operations Investigation as of 8/31/10 |   | Total | Valid  | Percent<br>Validated | Total | Investigations<br>with a<br>Deficiency<br>Cited |
| Residential Child Care Licensing Operatio | ns                                     |   |       |  |                      |       |   |
| Child Placing Agencies (CPA)*             | 341                                    | 3,057                                     | 1,213 | 36   | 3.0%                 | 1,835 | 450   |
| General Residential Operations            | 154                                    | 667                                       | 203   | 4  | 2.0%                 | 462   | 136   |
| Independent Foster/ Foster Group Homes    | 7                                      | 8   | 1     | 0  | 0.0%                 | 7     | 4   |
| Residential Treatment Centers             | 79                                     | 964                                       | 334   | 7  | 2.1%                 | 619   | 149   |
| Maternity Homes                           | 10                                     | 0   | 0     | 0  | 0.0%                 | 0     | 0   |
| Subtotal                                  | 591                                    | 4,696                                     | 1,751 | 47   | 2.7%                 | 2,923 | 739   |
| Illegal Operations                        | 16                                     | 15  | 0     | 0  | 0.0%                 | 15    | 4   |
| Total                                     | 607                                    | 4,711                                     | 1,751 | 47   | 2.7%                 | 2,938 | 743   |

<sup>\*</sup> Includes CPA branch offices

Note: The number of Investigations and the number of Non Abuse/Neglect Investigations are based on the date that the worker completed the investigation. The number of Abuse/Neglect Investigations uses the date that the Investigation stage closed. Therefore, the sum of Abuse/Neglect Investigations and Non Abuse/Neglect Investigations will not match the total Investigations.

# State's Top 10 Standards Deficiences for Residential Child Care Licensing Operations Fiscal Year 2010

| Rank | Standard<br>Rule* | Description  | Non-<br>Compliances |
|------|-------------------|--|---------------------|
| 1    | 749.607(1)        | Employee general responsibilities-Demonstrate competency, prudent judgment, self-control in presence of children and when performing assigned tasks    | 132                 |
| 2    | 749.1953(a)       | Corporal Punishment-May not use/threaten corporal punishment, such as hitting/spanking, forced exercise, holding physical position, unproductive work. | 109                 |
| 3    | 745.625(a)(7)     | Background checks submitted-every 24 months after first submitted  | 86                  |
| 4    | 748.507(1)        | Employee general responsibilities-Demonstrate competency, prudent judgment, self-control in presence of children and when performing assigned tasks    | 76                  |
| 5    | 748.685(a)(4)     | Caregiver responsibility - providing the level of supervision necessary to ensure each child's safety and well-being                                   | 58                  |
| 6    | 745.615(a)(8)     | Required background check - Applicants for a child-care administrator's license  | 57                  |
| 7    | 749.2593(a)(3)    | Supervision-The caregiver is responsible for ensuring each child's safety and well being, including auditory and/or visual awareness of the child      | 55                  |
| 8    | 748.3301 (a)      | Physical Site-Buildings must be structurally sound, clean, and in good repair. Paints must be lead-free  | 51                  |
| 9    | 749.1521(1)       | Medication Storage-Store medication in a locked container  | 48                  |
| 10   | 749.1541(a)       | Medication Record-Maintain cumulative record of prescription medications dispensed to child, include nonprescription meds for child under five yrs old | 47                  |

<sup>\*</sup> Only includes deficiencies where administrative review was upheld or waived.

Note: Does not include assessment deficiencies.

### Adverse and Corrective Actions in Residential Child Care Licensing Operations by Region Fiscal Year 2010

### Adverse Actions in Residential Child Care Licensing Operations by Region Fiscal Year 2010

| Region        | Permits<br>Denied | Permits<br>Revoked | Permits Suspended | Total Adverse<br>Actions |
|---------------|-------------------|--------------------|-------------------|--------------------------|
| 1 Lubbock     | 0                 | 0                  | 0                 | 0                        |
| 2 Abilene     | 0                 | 0                  | 0                 | 0                        |
| 3 Arlington   | 1                 | 0                  | 0                 | 1                        |
| 4 Tyler       | 0                 | 0                  | 0                 | 0                        |
| 5 Beaumont    | 0                 | 0                  | 0                 | 0                        |
| 6 Houston     | 0                 | 0                  | 0                 | 0                        |
| 7 Austin      | 0                 | 0                  | 0                 | 0                        |
| 8 San Antonio | 0                 | 0                  | 0                 | 0                        |
| 9 Midland     | 0                 | 0                  | 0                 | 0                        |
| 10 El Paso    | 0                 | 0                  | 0                 | 0                        |
| 11 Edinburg   | 0                 | 0                  | 0                 | 0                        |
| Unknown       | 0                 | 0                  | 0                 | 0                        |
| State Total   | 1                 | 0                  | 0                 | 1                        |

### Corrective Actions in Residential Child Care Licensing Operations by Region Fiscal Year 2010

| Region        | Evaluation | Probation | Total Corrective<br>Actions |
|---------------|------------|-----------|-----------------------------|
| 1 Lubbock     | 0          | 0         | 0                           |
| 2 Abilene     | 0          | 0         | 0                           |
| 3 Arlington   | 1          | 0         | 1                           |
| 4 Tyler       | 0          | 0         | 0                           |
| 5 Beaumont    | 0          | 0         | 0                           |
| 6 Houston     | 6          | 2         | 8                           |
| 7 Austin      | 0          | 0         | 0                           |
| 8 San Antonio | 1          | 0         | 1                           |
| 9 Midland     | 0          | 0         | 0                           |
| 10 El Paso    | 0          | 0         | 0                           |
| 11 Edinburg   | 0          | 0         | 0                           |
| Unknown       | 0          | 0         | 0                           |
| State Total   | 8          | 2         | 10                          |

Note: Adverse actions are determined by the date the facility was sent the letter of intent. Finalizing adverse action through due process is lengthy. Voluntary Suspensions are not counted in the number of suspensions. Corrective actions begin after the due process is completed.

### Background Checks for Residential Child Care Licensing Operations Fiscal Year 2010

| Residential Child Care Licensing Operations | Central Registry<br>Checks | DPS<br>Checks | FBI<br>Checks * |
|---|----------------------------|---------------|-----------------|
| CPAs, CPA Foster Agency Homes               | 43,304                     | 43,305        | 15,427          |
| General Residential Operations              | 11,277                     | 11,277        | 694             |
| Independent Foster/ Group Homes             | 282                        | 282           | 31              |
| Residential Treatment Centers               | 8,638                      | 8,638         | 357             |
| Maternity Homes                             | 148                        | 148           | 25              |
| Total                                       | 63,649                     | 63,650        | 16,534          |

<sup>\*</sup> Represents the number of FBI checks that were requested, not the number that were actually processed.

Note: Does not include background checks conducted on individuals in illegal child-care operations or CPS Foster and CPS Adoptive homes.

### Child Care Administrator Credential Exam Fiscal Year 2010

|   | 50 | Total Exams |
|---|----|-------------|
|   | 5  | Failed      |
| Licensed Child Placing Agency Administrators (LCPA) | 45 | Passed      |
|   | 61 | Total Exams |
|   | 3  | Failed      |
| Licensed Child Care Administrators (LCCA)           | 58 | Passed      |

Total number of exams for both licenses for FY10 was 111.

On 8/31/2010 there were:

|       | Active | Inactive | Total |
|-------|--------|----------|-------|
| LCCA  | 670    | 152      | 822   |
| LCPA  | 338    | 14       | 352   |
| Total |        |          | 1,174 |