

APS

Adult Protective Services In-Home Overview

The mission of Adult Protective Services is to protect people age 65 and older and adults with disabilities from abuse, neglect, and financial exploitation by investigating and providing or arranging for services necessary to alleviate or prevent further maltreatment.

APS serves persons who are reported to be abused, neglected, or financially exploited, and are age 65 or older or age 18-64 with a disability.

Total Average Filled Full Time Equivalent (FTE) Staff*

Caseworkers	537.7
Supervisors	85.5
Other Staff	135.4
APS Program Support	73.2
Total APS In-Home Staff	831.8

Worker Demographics

Turnover Rate	24.1%
Agency Tenure:	
Less Than 1 Year	20.3%
1 - 3 Years	25.1%
Greater than 3 Years	54.5%
Entry Salary*	\$31,144
Average Age	40.3
Race/Ethnicity:	
African American	37.0%
Anglo	33.8%
Hispanic	27.5%
Other	1.7%

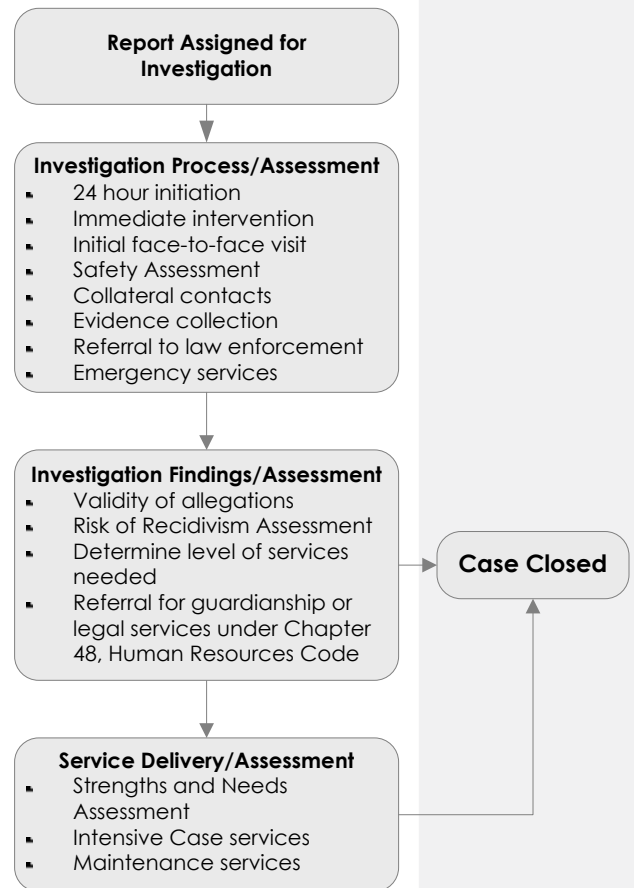
Supervisor Demographics

Turnover Rate	13.5%
Tenure as Supervisor:	
Less Than 1 Year	16.1%
1 - 3 Years	33.3%
Greater than 3 Years	50.6%
Entry Salary*	\$42,244
Average Age	45.7
Race/Ethnicity:	
African American	36.8%
Anglo	36.8%
Hispanic	25.3%
Other	1.1%

APS Expenditures*

APS In-Home Staff	\$49,938,554
Purchased Client Services	\$9,149,797
Total APS Expenditures	\$59,088,351

* Source: DFPS Office of Finance and FY 2016 Operating Budget (plus benefit replacement pay).



Note: The chart is for reference only and does not necessarily represent the flow of a case.

Statistics FY 2015

Completed Investigations	78,180
Validated Investigations	43,759
Validated Investigations with Services Provided	12,876
Completed Service Delivery Stages**	16,566

**Counts cannot be compared to those from prior Data Books due to changes in APS In-Home casework practice model. Cases with services provided during the investigation may not have a separate service stage.

Most Common...

Person reporting abuse/neglect/financial exploitation

Medical Personnel (21.8%)

Allegation validated

Physical Neglect (72.4%)

Validated perpetrator

Relationship: Adult Children (38.0%)

Gender: Female (52.5%)

Age: 46-55 (24.1%)

Characteristic of client

Gender: Female (58.9%)

Age: 65 and Older (62.6%)

Legal Responsibility for Adult Protective Services

Statutory References:

Federal: Title XX, Social Security Act
State: Human Resources Code, Chapters 40 and 48
Texas Family Code, Title V
Health and Safety Code, Chapter 532 and Chapters 591-595

Major Provisions:

- Mandatory reporting of abuse, neglect, and financial exploitation of adults who are age 65 or older or adults with disabilities
- Receipt and investigation of all reports (unless patently false); initiation of investigations within 24 hours of receipt of report
- Responsibility for referring reports to other state agencies when DFPS is not the appropriate investigating agency
- Provision or arrangement of services needed to prevent or alleviate abuse, neglect, and/or financial exploitation
- Enhancing and developing community resources in an effort to increase awareness of abuse, neglect and financial exploitation, and address increasing needs of APS clients
- Responsibility for referring adult victims of abuse, neglect and/or financial exploitation to the Department of Aging and Disability Services (DADS) for guardianship services when these persons appear to lack the capacity to consent to services, there is no other potential guardian available and guardianship is the least restrictive alternative that will ensure the person's safety and well-being
- Assessment of factors that may indicate an adult's possible lack of capacity to consent to services and pursuit of a medical or mental health evaluation, if indicated
- Using the least restrictive alternative in the provision of protective services
- Authority to seek court orders when necessary to gain access to the individual, to prevent interference with the provision of voluntary protective services, to access records or documents, and to initiate and provide emergency protective services (e.g., a removal), including after-hours and on holidays, without a court order
- Requirement to notify law enforcement if APS removes a person from their home under a court order and their home will be left unattended
- Requirement to notify law enforcement if APS suspects that a person has been abused, neglected, or financially exploited in a manner that constitutes a criminal offense
- Confidentiality of case records
- Requirement to make referrals to the Employee Misconduct Registry for certain validated perpetrators

Other Programmatic Information:

Factors Contributing to Abuse, Neglect, and Financial Exploitation:

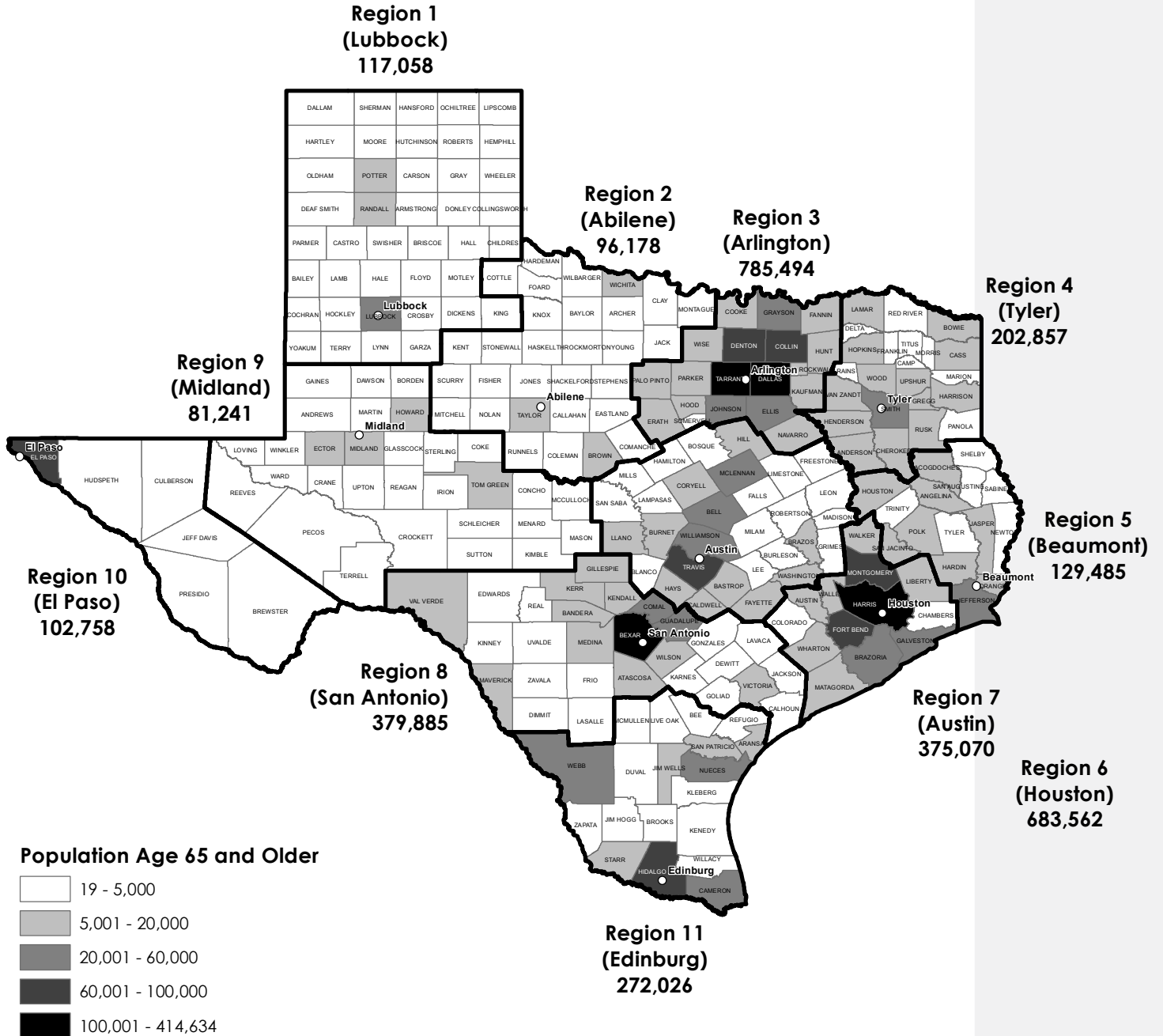
- Rapidly growing population of older adults
- Growing number of younger adults with disabilities
- Alcohol and drug dependency
- Poverty
- Lack of affordable housing and high costs of utility bills
- Inadequate access to health care and costly medications
- Toxic family relationships
- Dependence of family or others on the income of older adults and adults with disabilities
- Violence as a coping mechanism in society
- Physical and mental stress of caregiving in traditionally non-violent, caring households
- Denial of benefits, such as SSI and Medicaid, to some immigrants

Challenges:

- Affordable and safe housing
- Waiting lists and other limitations in the availability of in-home care and home health care
- Shortage of resources to serve persons denied long-term care and other benefits
- Gaps in surrogate decision-making processes for incapacitated persons in hospitals, nursing homes, and community-based settings
- Inadequate community services for persons with a mental illness, including those discharged from state hospitals
- Lack of statewide access to preventative or early intervention services, such as long-term case management, for older adults and adults with disabilities who are at risk, but not yet experiencing abuse, neglect, or financial exploitation
- Hiring and maintaining skilled frontline caseworkers and supervisors
- Specialized geriatric social work training is not keeping pace with the ever-increasing number of older Americans

**Texas Adult Population
Age 65 and Older
Fiscal Year 2015**

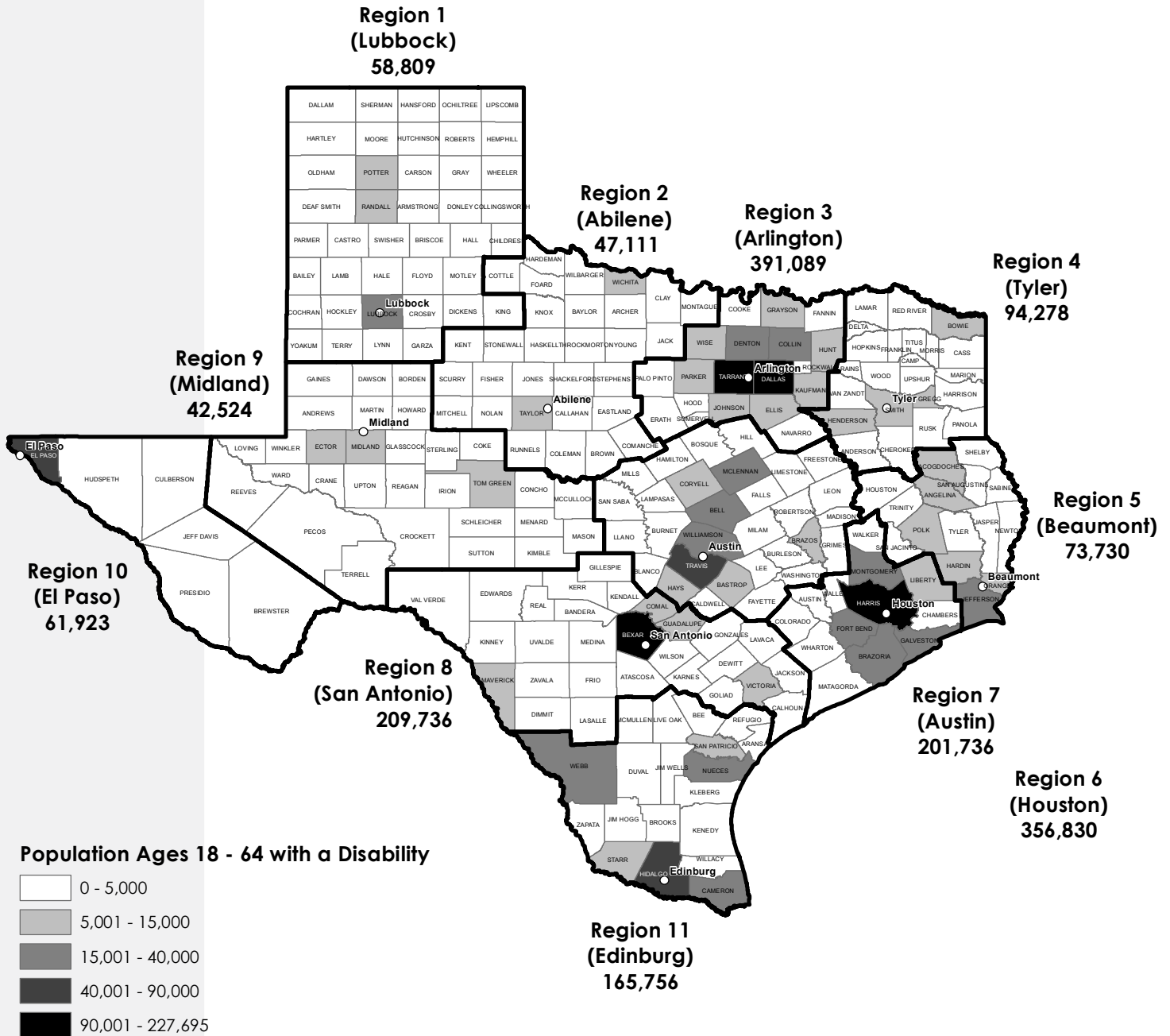
State Total: 3,225,614



Population Data Source: Population Estimates and Projections Program, Texas State Data Center, Office of the State Demographer and the Institute for Demographic and Socioeconomic Research, The University of Texas at San Antonio. Current Population Estimates and Projections Data as of December 2015.

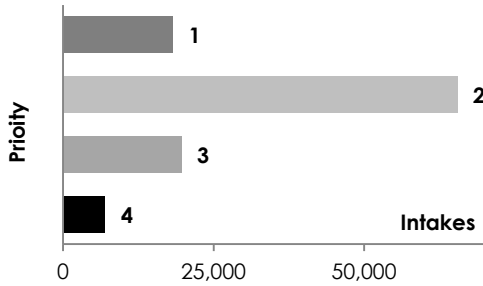
**Texas Adult Population with a Disability
Ages 18 to 64 Years
Fiscal Year 2015**

State Total: 1,703,522



Population Data Source: Population Estimates and Projections Program, Texas State Data Center, Office of the State Demographer and the Institute for Demographic and Socioeconomic Research, The University of Texas at San Antonio. Current Population Estimates and Projections Data as of January 2016.

**APS In-Home Intake Reports* by Priority
Fiscal Year 2015**

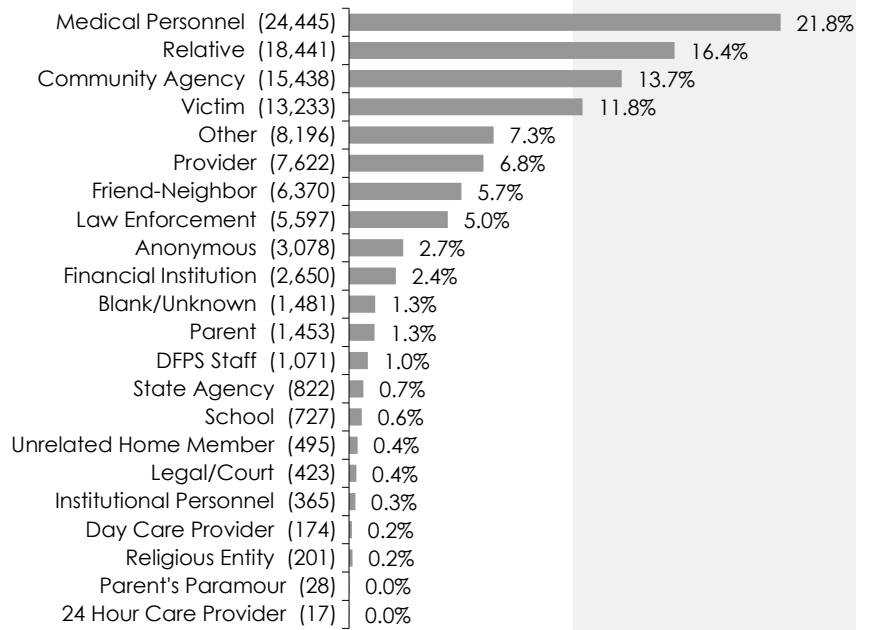


Priority	Intakes	%
P1	18,244	16.5%
P2	65,447	59.3%
P3	19,611	17.8%
P4	6,975	6.3%
Total	110,277	

Note: Refer to the definitions section for priority definitions

* Inclusion based on intake closure date.

**Source of APS In-Home Intake Reports*
Fiscal Year 2015**



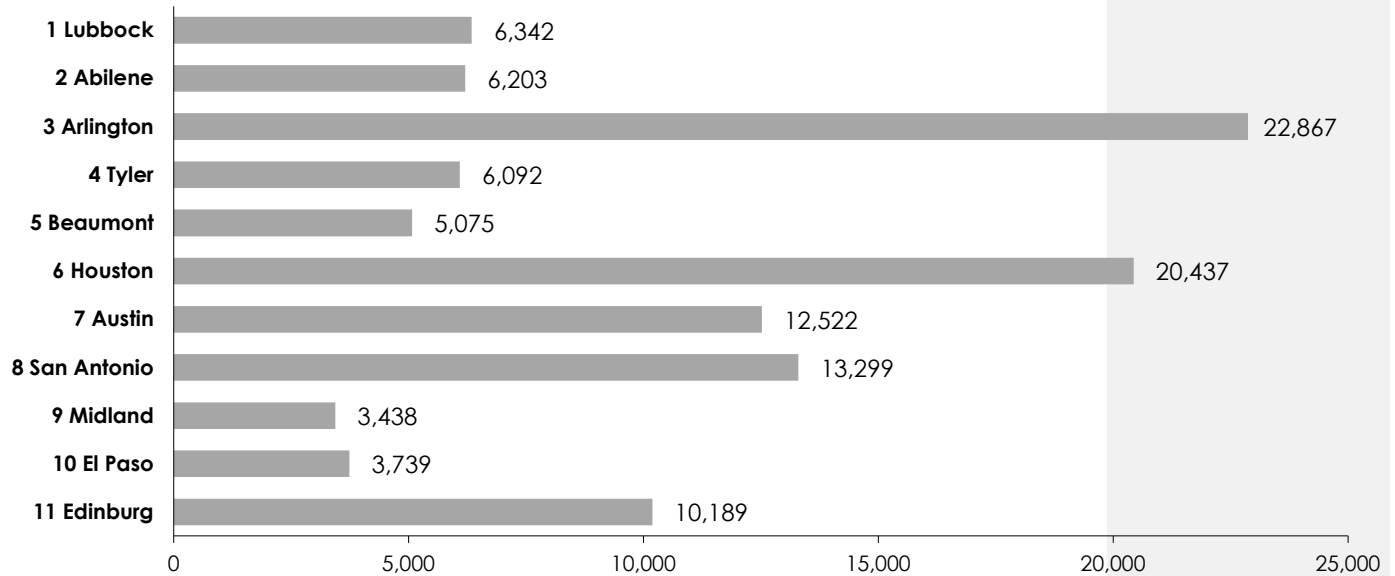
Statewide: 112,327

Note: A report of abuse/neglect/financial exploitation may come from multiple sources making the source total higher than the total number of intakes.

* Inclusion based on intake closure date.

**APS In-Home Intake Reports* by Region
Fiscal Year 2015**

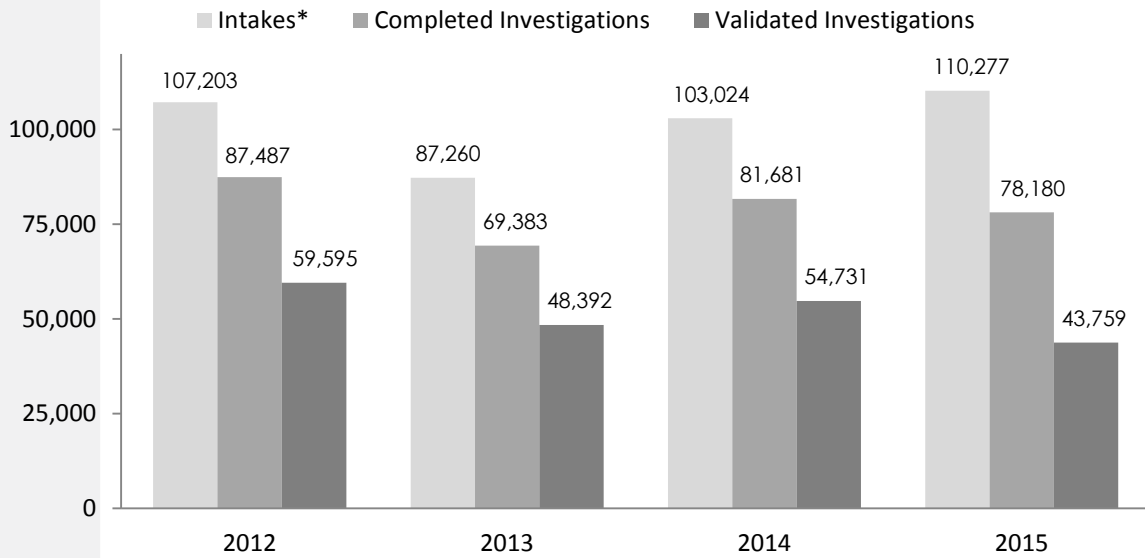
Statewide: 110,277



Note: 74 Reports did not have a region identified.

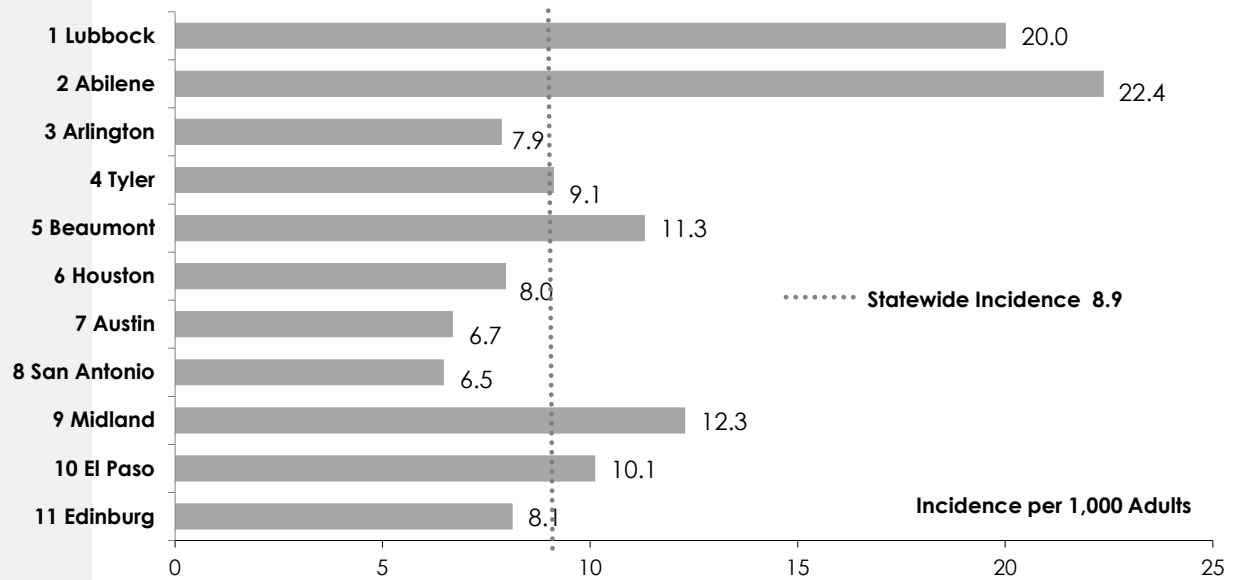
* Inclusion based on intake closure date.

APS In-Home Intakes, Completed and Validated Investigations by Fiscal Year



* Inclusion based on intake closure date

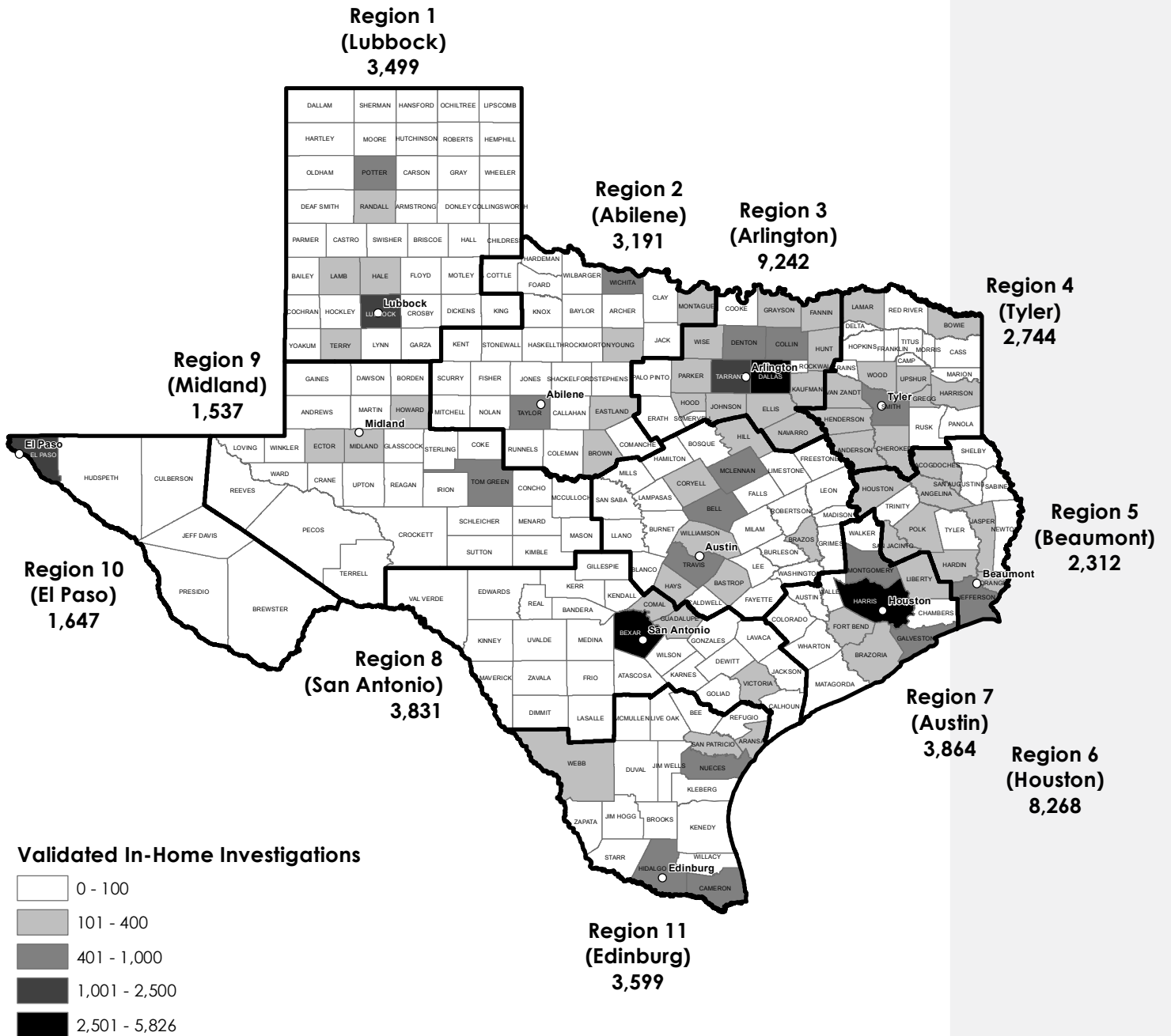
Maltreatment per 1,000 Adults in Texas Adult Population by Region Fiscal Year 2015



Note: Calculations are based on the percent of validated APS In-Home investigations. Unreported incidences are not reflected.

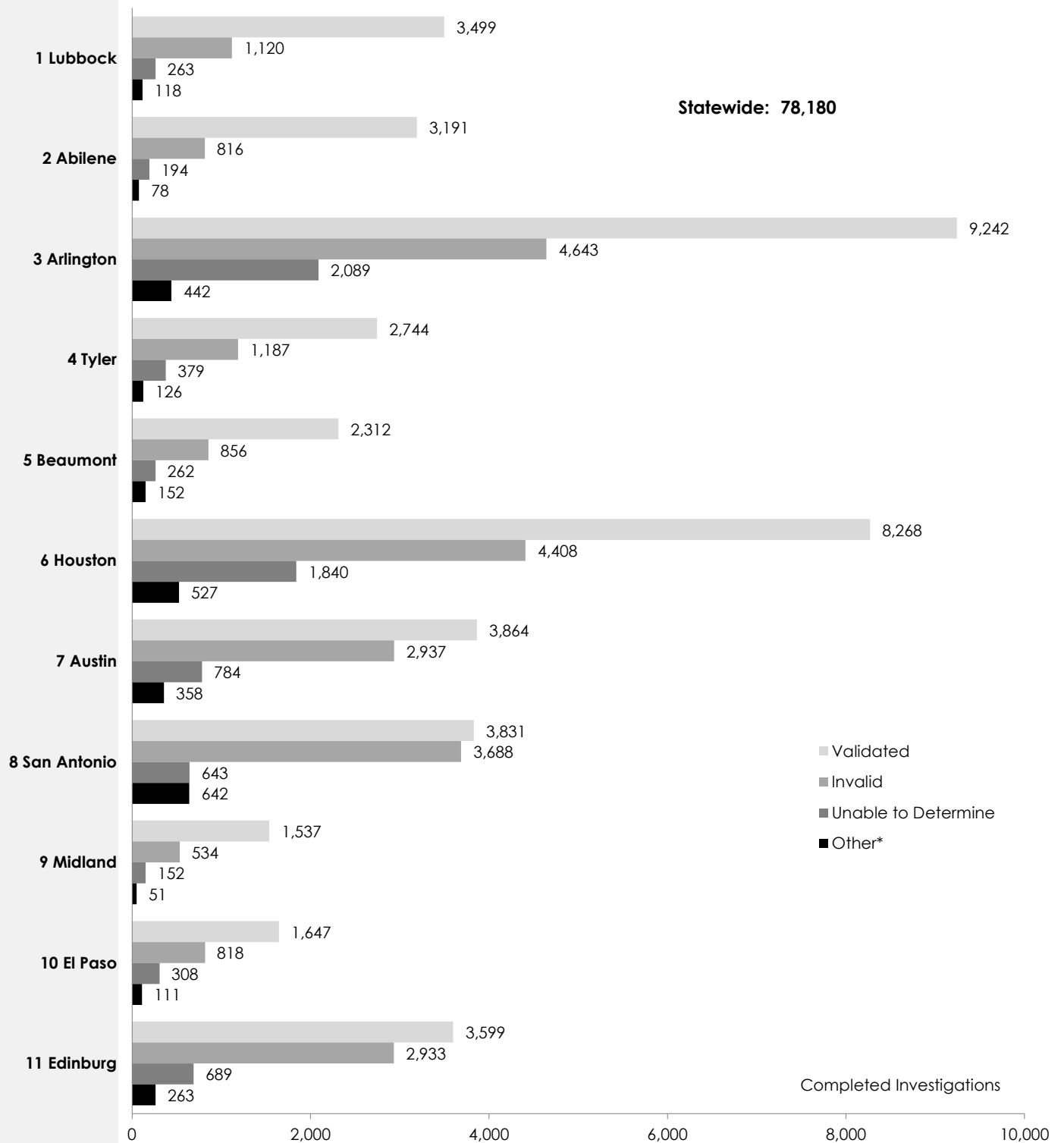
**Adult Protective Services
Validated In-Home Investigations
Fiscal Year 2015**

State Total: 43,759



Note: 25 validated investigations did not have a county designated.

Completed APS In-Home Investigations by Region Fiscal Year 2015



Note: 35 investigations had an "unknown" region. Of those, 25 were Validated, 4 were Invalid, 2 were Unable to Determine, and 4 were listed as Other.

* "Other" category refers to those investigations that workers could not complete for some reason, e.g. clients died or cases were misclassified.

Completed APS In-Home Investigations by Region and Disposition Fiscal Year 2015

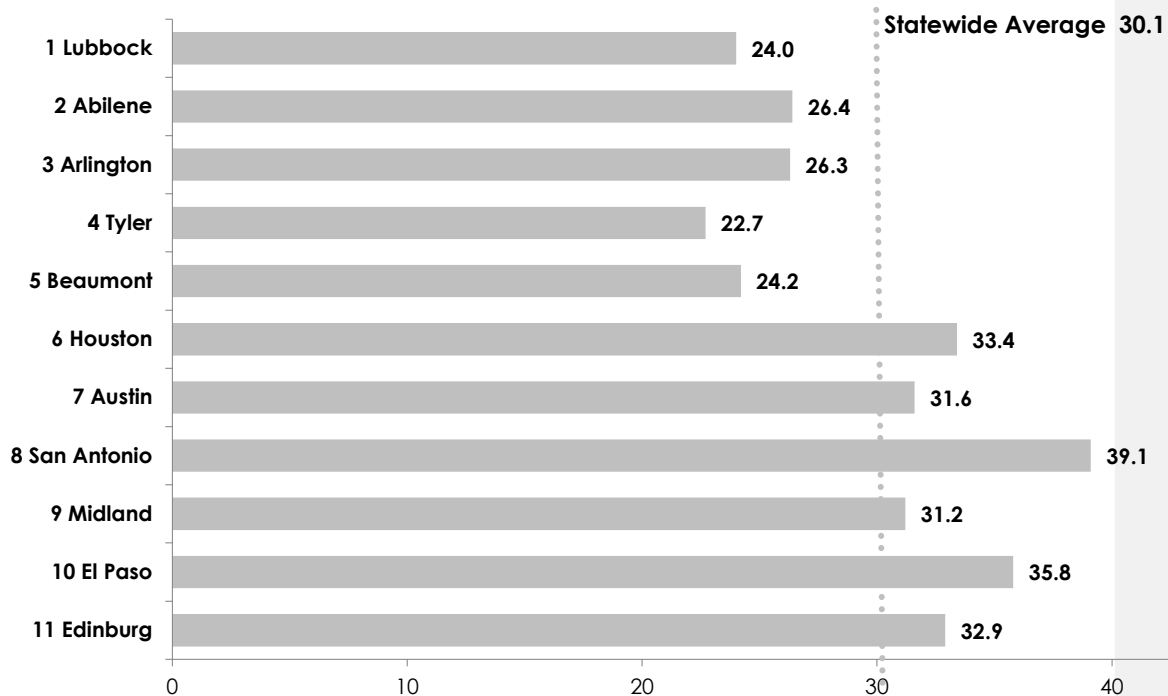
Region	Total Completed Investigations	Average Length (Days)	Valid			Invalid	Unable to Determine	Other***
			Progressed*	Services Provided in Investigation**	No Services Provided			
1 Lubbock	5,000	38.3	1,682	1,244	573	1,120	263	118
2 Abilene	4,279	35.2	1,692	797	702	816	194	78
3 Arlington	16,416	37.3	3,193	2,634	3,415	4,643	2,089	442
4 Tyler	4,436	41.7	1,540	603	601	1,187	379	126
5 Beaumont	3,582	48.9	1,144	633	535	856	262	152
6 Houston	15,043	47.9	3,414	2,322	2,532	4,408	1,840	527
7 Austin	7,943	47.8	848	1,510	1,506	2,937	784	358
8 San Antonio	8,804	68.7	1,221	1,438	1,172	3,688	643	642
9 Midland	2,274	50.9	887	317	333	534	152	51
10 El Paso	2,884	53.8	299	464	884	818	308	111
11 Edinburg	7,484	54.1	1,649	907	1,043	2,933	689	263
Unknown	35	49.1	3	7	15	4	2	4
Statewide	78,180	47.3	17,572	12,876	13,311	23,944	7,605	2,872

*A valid investigation may be "progressed" into intensive or maintenance service delivery stage, based on risk level.

**Beginning FY15, services provided during the investigation are documented in the investigation stage and not in a separate service stage.

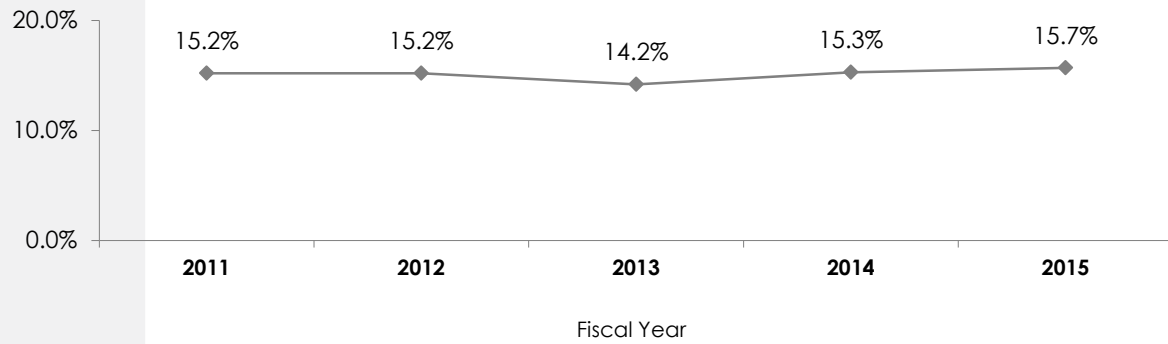
***"Other" category refers to those investigations that workers could not complete, e.g. clients died or cases were misclassified.

APS In-Home Average Daily Caseload Fiscal Year 2015



Note: Counts cannot be compared to those from prior Data Books due to changes in APS In-Home casework practice model.

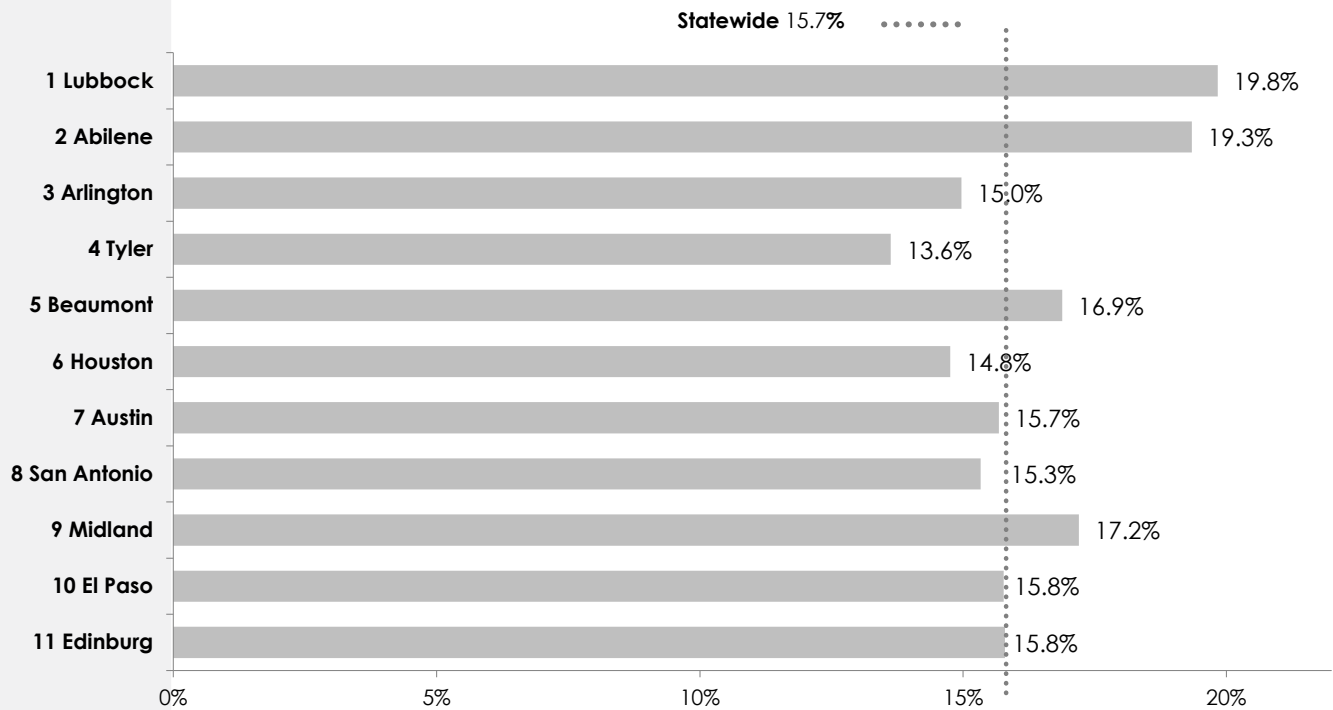
**Recidivism* of APS In-Home Cases
By Fiscal Year**



*Recidivism is a measure of the percentage of APS clients with investigations closed during the fiscal year who had a second investigation opened during the same fiscal year, including clients who refused services.

NOTE: In past Data Books, a slightly different calculation was used for this chart.

**Recidivism* of APS In-Home Cases by Region
Fiscal Year 2015**



*Recidivism is a measure of the percentage of APS clients with investigations closed during the fiscal year who had a second investigation opened during the same fiscal year, including clients who refused services.

**Characteristics of Validated APS Victims* in Completed In-Home Investigations
Fiscal Year 2015**

	Totals		Female		Male		Unknown	
	#	%**	#	%**	#	%**	#	%**
Age								
65 and Older	27,385	62.6%	16,725	38.2%	10,617	24.3%	43	0.1%
18-64 with Disability	16,374	37.4%	9,064	20.7%	7,284	16.6%	26	0.1%
Race/Ethnicity *								
Anglo	23,008	52.6%	13,575	31.0%	9,409	21.5%	24	0.1%
African American	9,649	22.1%	5,986	13.7%	3,640	8.3%	23	0.1%
Hispanic	9,595	21.9%	5,322	12.2%	4,252	9.7%	21	0.0%
Other	1,106	2.5%	654	1.5%	451	1.0%	1	0.0%
Asian	290	0.7%	176	0.4%	114	0.3%	0	0.0%
Native American	111	0.3%	76	0.2%	35	0.1%	0	0.0%
Total Victims	43,759		25,789	58.9%	17,901	40.9%	69	0.2%

*Includes self as perpetrator (i.e. a finding of self-neglect).

**Percent of Total Validated Victims.

**Characteristics of Perpetrators* In Validated APS In-Home Investigations
Fiscal Year 2015**

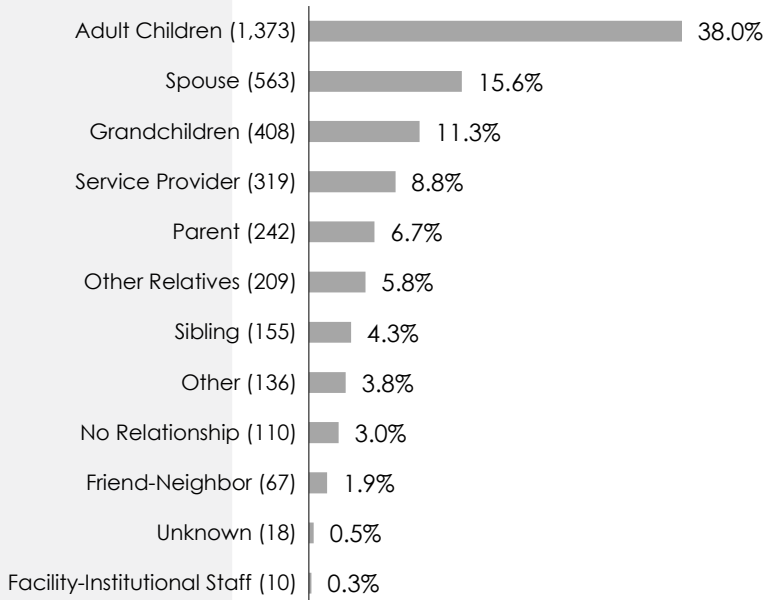
	Totals		Female		Male		Unknown	
	#	%**	#	%**	#	%**	#	%**
Age								
Under 18	168	4.7%	59	1.6%	109	3.0%	0	0.0%
18-25	271	7.5%	125	3.5%	143	4.0%	3	0.1%
26-35	489	13.5%	261	7.2%	225	6.2%	3	0.1%
36-45	686	19.0%	399	11.1%	281	7.8%	6	0.2%
46-55	873	24.2%	471	13.0%	395	10.9%	7	0.2%
56-65	598	16.6%	320	8.9%	273	7.6%	5	0.1%
Over 65	525	14.5%	259	7.2%	264	7.3%	2	0.1%
Race/Ethnicity								
Anglo	1,731	48.0%	839	23.2%	883	24.5%	9	0.2%
Hispanic	982	27.2%	544	15.1%	433	12.0%	5	0.1%
African American	615	17.0%	371	10.3%	243	6.7%	1	0.0%
Other	255	7.1%	128	3.5%	116	3.2%	11	0.3%
Asian	22	0.6%	10	0.3%	12	0.3%	0	0.0%
Native American	5	0.1%	2	0.1%	3	0.1%	0	0.0%
Total Perpetrators	3,610		1,894	52.5%	1,690	46.8%	26	0.7%

Note: Each victim may have more than one perpetrator at the end of an investigation.

*Does not include self as perpetrator (i.e. a finding of self-neglect).

**Percent of Total Validated Perpetrators.

Perpetrators* in Validated APS In-Home Investigations Fiscal Year 2015



Statewide 3,610

Note: Each victim may have more than one perpetrator at the end of an investigation.

**Does not include self as perpetrator investigations (i.e. a finding of self-neglect).*

Completed APS In-Home Investigations with Notifications to Law Enforcement, by Region Fiscal Year 2015

Region	Investigations
1 Lubbock	193
2 Abilene	113
3 Arlington	1,543
4 Tyler	342
5 Beaumont	175
6 Houston	2,168
7 Austin	728
8 San Antonio	222
9 Midland	157
10 El Paso	209
11 Edinburg	219
Unknown	2
Statewide	6,071

Note: Referral may have been made in previous fiscal year.

Victims of Family Violence* in Validated APS In-Home Investigations by Region Fiscal Year 2015

Region	Victims
1 Lubbock	101
2 Abilene	113
3 Arlington	652
4 Tyler	143
5 Beaumont	136
6 Houston	309
7 Austin	237
8 San Antonio	265
9 Midland	66
10 El Paso	147
11 Edinburg	295
State Total	2,464

**Family Violence is indicated when a validated investigation has a relative perpetrator excluding those where financial exploitation is the only confirmed allegation.*

**Validated Allegations in APS In-Home Investigations
by Type of Abuse/Neglect/Exploitation
Fiscal Year 2015**

Region	Validated Allegations		Unduplicated Validated Victims*	
	#	%	#	%
1 Lubbock	4,461	8.2%	3,499	8.0%
2 Abilene	3,957	7.3%	3,191	7.3%
3 Arlington	11,729	21.5%	9,242	21.1%
4 Tyler	3,315	6.1%	2,744	6.3%
5 Beaumont	2,788	5.1%	2,312	5.3%
6 Houston	9,912	18.2%	8,268	18.9%
7 Austin	4,844	8.9%	3,864	8.8%
8 San Antonio	4,625	8.5%	3,831	8.8%
9 Midland	2,130	3.9%	1,537	3.5%
10 El Paso	2,168	4.0%	1,647	3.8%
11 Edinburg	4,590	8.4%	3,599	8.2%
Unknown	33	0.1%	25	0.1%
State Total	54,552		43,759	

Region	Physical Neglect	Medical Neglect	Mental Health Neglect	Physical Abuse	Financial Exploitation	Emotional/ Verbal Abuse	Sexual Abuse
1 Lubbock	3,282	755	296	48	59	19	2
2 Abilene	2,954	701	173	49	43	37	0
3 Arlington	8,223	2,076	772	264	212	176	6
4 Tyler	2,517	490	149	55	76	28	0
5 Beaumont	2,134	396	97	53	60	46	2
6 Houston	7,620	1,451	495	139	123	82	2
7 Austin	3,364	871	330	128	74	75	2
8 San Antonio	3,373	684	228	92	131	114	3
9 Midland	1,405	429	214	34	20	28	0
10 El Paso	1,424	435	160	43	69	35	2
11 Edinburg	3,164	791	386	110	67	67	5
Unknown	23	5	5	0	0	0	0
State Total	39,483	9,084	3,305	1,015	934	707	24

* Victims have been unduplicated by investigation stage.

Completed Service Delivery Stages in APS In-Home Cases Fiscal Year 2015

Region	Total Stages with Service Delivery	Investigations in which Services were Provided	Service Delivery Stages*
1 Lubbock	2,864	1,244	1,620
2 Abilene	2,117	797	1,320
3 Arlington	5,504	2,634	2,870
4 Tyler	2,028	603	1,425
5 Beaumont	1,667	633	1,034
6 Houston	5,810	2,322	3,488
7 Austin	2,451	1,510	941
8 San Antonio	2,812	1,438	1,374
9 Midland	1,043	317	726
10 El Paso	778	464	314
11 Edinburg	2,355	907	1,448
Unknown	13	7	6
Statewide	29,442	12,876	16,566

Note: Counts cannot be compared to those from prior Data Books, due to changes in APS In-Home casework practice model. Cases with services provided during the investigation may not have a separate service stage.

**Includes Regular, Maintenance and Intensive Case Service Stages.*

Client Services Delivered for APS In-Home Cases by Region Fiscal Year 2015

Region	Totals	Non-Purchased Services	Purchased Services
1 Lubbock	8,256	5,667	2,589
2 Abilene	7,101	5,275	1,826
3 Arlington	14,547	11,350	3,197
4 Tyler	4,996	4,051	945
5 Beaumont	3,822	2,767	1,055
6 Houston	11,406	8,122	3,284
7 Austin	6,498	4,938	1,560
8 San Antonio	7,516	5,338	2,178
9 Midland	3,013	2,120	893
10 El Paso	2,080	1,727	353
11 Edinburg	6,170	4,750	1,420
Unknown	41	34	7
Statewide	75,446	56,139	19,307

Note: Clients in validated cases may receive more than one service.