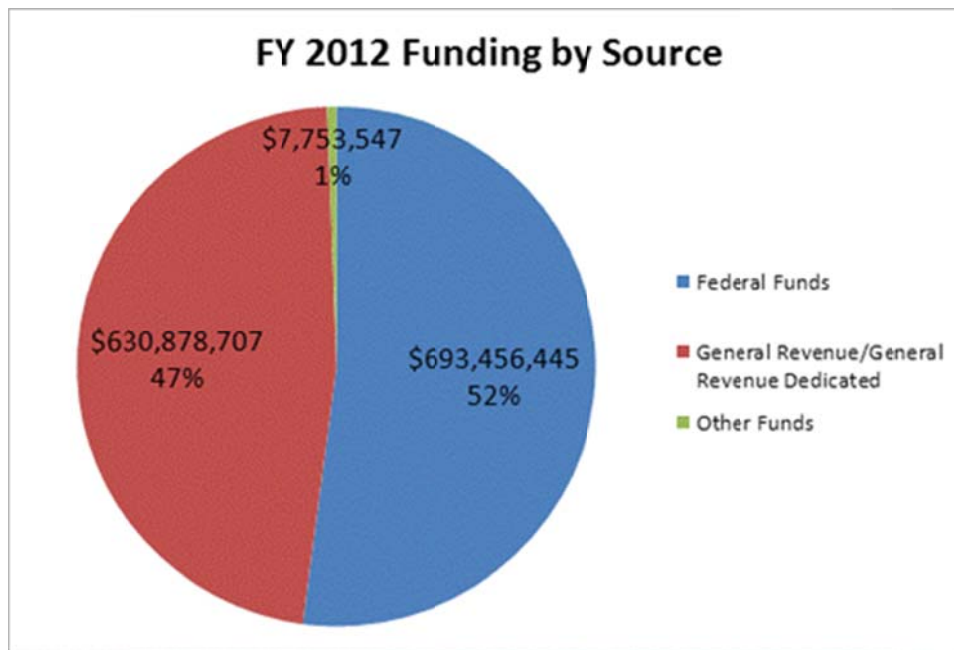


V. FUNDING

A. Provide a brief description of your agency's funding.

The Texas Department of Family and Protective Services (DFPS) operates almost exclusively on federal funds and State General Revenue. Less than one percent of the Department's funding comes from other sources. The DFPS appropriation for FY 2012 consisted of 52 percent federal funds, 47.4 percent General Revenue and General Revenue-Dedicated funds, and 0.6 percent other funds.



- **Federal Funds.** \$692,456,445: DFPS receives both entitlement funding and block grants from the U.S. Department of Health and Human Services. DFPS receives funding from 15 Catalog of Federal Domestic Assistance (CFDA) programs. All but one is under the authority of the Administration for Children and Families (ACF). ACF programs include entitlement funding for foster care, adoption assistance, guardianship assistance, and block grant funding such as Temporary Assistance for Needy Families, Social Services Block Grant, Child Care and Development Fund, and various child welfare programs. Medicaid is another funding source. DFPS is not a Medicaid operating agency but is allowed to claim Medicaid administration for certain activities. Most of these federal programs require state matching funds or a state maintenance of effort.
- **State Funds.** \$630,878,707: DFPS receives General Revenue funds to satisfy the state match requirements for federal funds as well as to fund costs that exceed block grant funding and to cover costs that federal funds are not allowed to cover. DFPS also receives dedicated-General Revenue funds from the Child Abuse and Neglect Prevention Trust Fund account. This account comes from county fees on marriage licenses and recording declarations of informal marriage. \$20 of the \$60 marriage license fee and \$12.50 of the \$25 declaration of

informal marriage fee must be sent to the Comptroller who deposits the money into the trust fund account. This trust fund (Account 5085) is dedicated to child abuse prevention programs. Appropriated amounts are transferred to the Child Abuse and Neglect Prevention Operating Account 5084, from which expenditures are made.

- **Other Funds.** \$7,753,547: Other funds consist primarily of interagency contract receipts, receipts from counties who augment appropriated child welfare staff by funding extra positions, and a portion of the child support collected by the Office of the Attorney General.

B. List all riders that significantly impact your agency's budget.

The FY 2012–2013 General Appropriations Act contain riders that affect DFPS. Below is a summary of those that significantly contribute to or affect the Department's budget. For a complete listing of all agency-specific riders, please see the General Appropriations Act.

Rider 3. Limitation on Expenditures for Conservatorship Suits. Prohibits general revenue from being used to pay for legal representation for children or their parents in suits in which the Department is seeking to be named conservator, unless the Governor has declared it an emergency. Without this rider, DFPS may be expected to pay for such costs by county governments.

Rider 6. Foster Care Rates. Restricts the Department's ability to transfer funds out of the foster care strategy by requiring prior written approval. For the FY 2014-2015 biennium, it also includes legislative intent that foster care redesign rates may not result in total expenditures for any fiscal year that exceed the appropriation, except for caseload growth.

Rider 15. Limitation on Transfers: CPS and APS Direct Delivery Staff. Restricts the Department's ability to transfer funds or FTEs out of the two direct delivery staff strategies (B.1.1 CPS Direct Delivery Staff and D.1.1 APS Direct Delivery Staff) by requiring prior written approval.

Rider 18. Medicaid and Title IV-E Federal Funds. Restricts the Department's ability to spend general revenue and TANF federal funds that are freed up when federal entitlement revenues exceed the amounts appropriated by requiring prior written approval.

Rider 20. CPS Investigative Pay. Authorizes the Department to pay a supplemental amount to Child Protective Services program investigative caseworkers and supervisors to help recruit and retain staff for those jobs.

Rider 30. Limitation on Appropriations for Daycare Services. Prohibits the Department from spending more than the amounts appropriated for Child Protective Services daycare without prior written approval.

C. Show your agency's expenditures by strategy.

Department of Family and Protective Services			
Exhibit 5: Expenditures by Strategy — FY 2012 (Actual)			
Goal/Strategy	Amount Spent	Percent of Total	Contract Expenditures Included (Actual)
A.1.1 Statewide Intake Services	\$18,127,344	1.36%	N/A
B.1.1 CPS Direct Delivery Staff	\$412,205,667	30.97%	N/A
B.1.2 CPS Program Support	\$43,541,394	3.27%	\$432,400
B.1.3 TWC Foster Daycare	\$11,786,827	0.89%	N/A
B.1.4 TWC Relative Daycare	\$9,100,605	0.68%	N/A
B.1.5 TWC Protective Daycare	\$18,574,704	1.4%	\$37,064,453 ¹
B.1.6 Adoption Purchased Services	\$6,245,863	0.47%	\$5,713,000
B.1.7 Post-Adoption Purchased Services	\$2,446,100	0.18%	\$2,415,179
B.1.8 PAL Purchased Services	\$8,868,194	0.67%	\$8,304,867
B.1.9 Substance Abuse Purchased Services	\$4,873,960	0.37%	\$2,848,759
B.1.10 Other CPS Purchased Services	\$22,684,200	1.70%	\$22,684,200
B.1.11 Foster Care Payments	\$381,926,525	28.69%	\$ 359,124,423
B.1.12 Adoption Subsidy Payments	\$193,981,339	14.57%	N/A
B.1.13 Relative Caregiver Monetary Assistance	\$7,859,926	0.59%	\$40,998
C.1.1 STAR Program	\$17,284,083	1.30%	\$16,792,154
C.1.2 CYD Program	\$4,815,652	0.36%	\$4,692,711
C.1.3 Texas Families Program	\$2,549,382	0.19%	\$2,281,924
C.1.4 Child Abuse Prevention Grants	\$4,183,643	0.31%	\$3,845,267 ²
C.1.5 Other At-Risk Prevention Programs	\$2,092,770	0.16%	\$2,092,770
C.1.6 At-Risk Prevention Program Support	\$848,180	0.06%	N/A
D.1.1 APS Direct Delivery Staff	\$50,981,192	3.83%	\$7,248,497
D.1.2 APS Program Support	\$5,196,635	0.39%	N/A
D.1.3 MH and MR Investigations	\$9,569,572	0.72%	N/A
E.1.1 Child Care Regulation	\$32,871,973	2.47%	N/A

Department of Family and Protective Services
Exhibit 5: Expenditures by Strategy — FY 2012 (Actual)

Goal/Strategy	Amount Spent	Percent of Total	Contract Expenditures Included (Actual)
F.1.1 Central Administration	\$14,253,012	1.07%	\$3,625,483 ³
F.1.2 Other Support Services	\$5,388,226	0.40%	\$2,713,098 ²
F.1.3 Regional Administration	\$355,366	0.03%	N/A
F.1.4 IT Program Support	\$23,715,309	1.78%	\$2,690,305
F.1.5 Agency-wide Automated Systems	\$14,761,056	1.11%	\$14,761,056
GRAND TOTAL:	\$1,331,088,699	100%	\$518,935,674⁴

Notes:

1. Except where noted, contract expenditure totals are FY 2012 actual dollars extracted from HCATS (see note 2). Expenditure data is interfaced into HCATS from the HHSC HHSAS system. Note that daycare strategies B.1.3, B.1.4 and B.1.5 are totaled together.
2. Contract expense totals are taken from the 8/16/2012 Legislative Appropriations Request projection where HCATS dollars are not cleanly traced to a single strategy. This may be due to timing differences in billing or program expense that is attributed to multiple program account codes.
3. Contract dollars for Strategy F.1.1, Central Administration, includes office supply costs attributable to strategies F.1.1 and F.1.3. The entire amount is shown in Strategy F.1.1.
4. Total contract dollars for all strategies includes both client service contracts and administrative service contracts. The total includes \$540,553 in various small administrative contracts under \$25,000 that are attributable to various strategies. One example is electronic work paper software for internal audit - total contract amount is under \$12,000.

D. Show your agency's sources of revenue. Include all local, state, and federal appropriations, all professional and operating fees, and all other sources of revenue collected by the agency, including taxes and fines.

Department of Family and Protective Services	
Exhibit 6: Sources of Revenue — Fiscal Year 2012 (Actual)	
Source	Amount
Appropriated Receipts – Child Support Collections	\$1,239,613
Appropriated Receipts – Other	\$5,452,277
Interagency Contracts	\$1,061,657
General Revenue	\$625,181,407
General Revenue-Dedicated	\$5,697,300
Federal Funds	\$692,456,445
TOTAL	\$1,331,088,699

E. If you receive funds from multiple federal programs, show the types of federal funding sources.

Department of Family and Protective Services				
Exhibit 7: Federal Funds — Fiscal Year 2012 (Actual)				
CFDA Title	State/ Federal Match Ratio	State Share	Federal Share	Total Funding
Title IV-E Guardianship Assistance – Administration	50/50	\$40,487	\$40,487	\$80,974
Title IV-E Guardianship Assistance – FMAP	FMAP (FFY)	\$683,225	\$952,067	\$1,635,292
Title IV-B, Part 2 Promoting Safe and Stable Families	25/75	\$9,769,902	\$29,309,706	\$39,079,608
Title IV-B, Part 2 Promoting Safe and Stable Families- Caseworker Visits	25/75	\$542,070	\$1,626,211	\$2,168,281
Temporary Assistance for Needy Families			\$232,348,853	\$232,348,853

Department of Family and Protective Services
Exhibit 7: Federal Funds — Fiscal Year 2012 (Actual)

CFDA Title	State/ Federal Match Ratio	State Share	Federal Share	Total Funding
Refugee and Entrant Assistance State Administered Programs			\$3,662,485	\$3,662,485
Child Care and Development Block Grant			\$30,050,098	\$30,050,098
Community-Based Child Abuse Prevention Grants			\$4,179,622	\$4,179,622
Title IV-E Chafee Education and Training Vouchers Program (ETV)	20/80	\$914,391	\$3,657,563	\$4,571,954
Adoption Incentive Payments			\$5,600,000	\$5,600,000
Children's Justice Grants to States			\$41,229	\$41,229
Title IV-B, Part I Child Welfare Services State Grant	25/75	\$6,246,686	\$18,740,058	\$24,986,744
Adoption Opportunities			\$274,963	\$274,963
Title IV-E Foster Care	25/75	\$5,754	\$17,262	\$23,016
Title IV-E Foster Care – Administration	50/50	\$88,025,694	\$88,025,694	\$176,051,388
Title IV-E Foster Care – FMAP	FMAP (FFY)	\$86,073,025	\$119,941,874	\$206,014,899
Title IV-E Foster Care – Training – 75%	25/75	\$2,514,150	\$7,542,450	\$10,056,600
Title IV-E Adoption Assistance – Administration	50/50	\$7,280,205	\$7,280,205	\$14,560,410
Title IV-E Adoption Assistance – FMAP	FMAP (FFY)	\$62,478,294	\$87,062,859	\$149,541,153
Title IV-E Adoption Assistance – Training – 75%	25/75	\$16,576	\$49,727	\$66,303
Title XX – Social Services Block Grant			\$33,585,895	\$33,585,895
Child Abuse and Neglect			\$3,210,939	\$3,210,939

Department of Family and Protective Services
Exhibit 7: Federal Funds — Fiscal Year 2012 (Actual)

CFDA Title	State/ Federal Match Ratio	State Share	Federal Share	Total Funding
State Grants				
Chafee Foster Care Independent Living	20/80	\$1,982,619	\$7,930,475	\$9,913,094
Title XIX Medicaid – Medical Assistance Program	50/50	\$7,325,723	\$7,325,723	\$14,651,446
TOTAL		\$273,898,800	\$692,456,445	\$966,355,245

Notes:

1. The non-federal share for this program is met by the state-funded cost of tuition for former foster care youth in state-supported institutions of higher education (reported by the Higher Education Coordinating Board)
2. Title IV-B regulations allow a state to use state fund expenditures that are not used to satisfy the required state funding match for any other federal title (but not to exceed the amount used for match in federal FY 2005).
3. Expenditures at the FMAP ratio in a given state fiscal year would be subject to two FMAP rates, depending on the month of the expenditure. Federal FY 2011 FMAP ratio - 39.44/60.56. Federal FY 2012 FMAP ratio - 41.78/58.22. State or non-federal share amounts above are based on projected collections in appropriation year 2012 and assume a rate of 41.78.
4. The non-federal share of this program is met in part by state General Revenue and also by cash or in-kind services reported by contracted service providers as allowed by federal statute.

F. If applicable, provide detailed information on fees collected by your agency.

Department of Family and Protective Services				
Exhibit 8: Fee Revenue — Fiscal Year 2012				
Fee Description/ Program/ Statutory Citation	Current Fee/ Statutory Maximum	Number of Persons or Entities Paying the Fee	Fee Revenue	Where Fee Revenue is Deposited
Childcare Facility or Child Placing Agency/ HR Code §42.054	\$20-\$100	NA	\$1,852,289.00	General Revenue Fund
Child Care Institution Administrator/ HR Code §43.006	\$20-\$50	NA	\$51,712.00	General Revenue Fund
Adoption Registry/ Family Code §162.411	\$15	NA	\$195.00	General Revenue Fund
Fees for Copies or Filing of Records/ General Appropriations Act, 81 st Leg., RS 2009, Art. IX §12.02	Various	NA	\$2,662.00	General Revenue Fund
Criminal History Checks/ HR Code §42.056	\$2-\$24	NA	\$283,862.00	General Revenue Fund
Conference, Seminars, and Training Registration Fees/ General Appropriations Act, 81 st Leg., RS 2009, Art. IX §8.08	Various	NA	\$49,799.00	General Revenue Fund