

# **Texas Department of Family and Protective Services**



**Rider 30 CPS Reform Expenditure Report for FY 2007**

**As of November 30, 2006**

**Submitted January 31, 2007**

**DFPS Rider 30 CPS Reform Expenditure Plan for FY 2007  
Status Report as of November 30, 2006**

Purpose Listed in Rider 30	FY 2007 Appropriated	FY 2007 Funding Allocation	Operating Budget Adjustments	FY 2007 Operating Budget	YTD Expenditures	Projected Expense (Annual)	Variance	Total Approp FTEs	Annual Phase-In	YTD Ave FTEs Filled
<b>1. Salary/Other Support Costs-Direct Delivery Staff</b>	61,780,156	57,623,015	(6,605,268)	51,017,747	10,884,368	62,151,148	(11,133,401)	1,519.0	1,268.5	1,111.1
<b>2. Regional Screeners</b>	1,851,821	1,851,821	346,273	2,198,094	412,233	2,146,769	51,325	41.0	41.0	38.1
<b>3. Case Records Compliance</b>	644,380	644,380	216,021	860,401	118,420	877,239	(16,838)	20.0	20.0	11.5
<b>4. Functional Units for All Stages of Service</b>	26,664,296	26,749,379	701,227	27,450,606	5,185,386	27,327,809	122,797	758.0	617.8	574.5
<b>5. Expanded Training</b>	2,782,359	2,782,359	1,335,462	4,117,821	550,920	4,312,062	(194,241)	50.0	50.0	46.5
<b>6. Technology (Mobile Caseworker)</b>	5,692,426	8,151,472	4,197,632	12,349,104	2,601,990	12,684,237	(335,133)	-	-	-
<b>7. Forensic Medical Assessment/Telemedicine</b>	3,804,916	3,804,916	85,000	3,889,916	-	3,889,916	-	-	-	-
<b>8. IMPACT Modifications</b>	2,199,050	2,199,050	1,986,880	4,185,930	146,100	4,349,400	(163,470)	-	-	-
<b>9. Purchased Client Services</b>	21,660,111	21,660,111	5,651,115	27,311,226	4,860,108	26,414,102	897,124	-	-	-
<b>10. Salary Package</b>	9,331,987	10,944,999	67,202	11,012,201	2,387,986	10,945,000	67,201	-	-	-
<b>11. Child Safety Specialists</b>	1,064,502	1,064,502	214,713	1,279,215	272,310	1,345,646	(66,431)	20.0	20.0	19.7
<b>12. Diligent Search &amp; Background Check Staff</b>	189,286	189,286	31,403	220,689	42,743	221,181	(492)	6.0	6.0	5.9
<b>13. CPS Management Structure</b>	6,096,964	6,096,964	304,932	6,401,896	1,160,874	6,351,466	50,430	116.0	116.0	86.1
<b>14. Agency Operations Support</b>	1,059,472	1,059,472	365,385	1,424,857	309,705	1,433,099	(8,242)	28.0	28.0	23.3
<b>15. Human Resources Contract Cost Increase</b>	378,008	378,008	-	378,008	-	378,008	-	-	-	-
<b>16. Foster Care Residential Contract Mgmt/Audits</b>	500,000	500,000	-	500,000	-	500,000	-	-	-	-
<b>17. Independent Administrator &amp; Evaluation</b>	1,450,388	1,450,388	389,668	1,840,056	13	1,875,165	(35,109)	-	-	-
<b>18. Contract Oversight/Program Quality Assurance</b>	445,681	445,681	19,126	464,807	1,470	511,232	(46,425)	10.0	7.5	-
<b>19. Transition of Agency Staff</b>	-	-	-	-	-	-	-	(45.0)	(22.5)	-
<b>20. Foster Care Child Passport</b>	-	-	-	-	-	-	-	-	-	-
<b>TOTAL, CPS REFORM</b>	<b>147,595,803</b>	<b>147,595,803</b>	<b>9,306,771</b>	<b>156,902,574</b>	<b>28,934,625</b>	<b>167,713,479</b>	<b>(10,810,905)</b>	<b>2,523.0</b>	<b>2,152.3</b>	<b>1,916.6</b>
<b>Method of Finance</b>										
<b>General Revenue</b>	-	-	2,563,500	2,563,500		2,563,500	-			
<b>Economic Stabilization Fund</b>	118,561,405	118,561,405	13,043,015	131,604,420		142,415,325	(10,810,905)			
<b>Federal Funds, Est.</b>	29,034,398	29,034,398	(6,299,744)	22,734,654		22,734,654	-			
<b>TOTAL, ALL FUNDS</b>	<b>147,595,803</b>	<b>147,595,803</b>	<b>9,306,771</b>	<b>156,902,574</b>		<b>167,713,479</b>	<b>(10,810,905)</b>			

**Note:** Adjustments between purposes to arrive at the FY 2007 funding allocation are detailed on Page 1(a). Adjustments to the operating budget are detailed on Page 1(b).

**Explanation of Variances:**

The variance reflects the anticipated shortfall due to the Deficit Reduction Act (DRA) and the updated time study results shifting activities from federal entitlement to non-entitlement TANF and IV-B activities.

## DFPS Rider 30 CPS Reform - FY 2007 Detail of Expenditure Plan Adjustments

	FY 2007 Appropriated	Adjustment 1	Adjustment 2	Adjustment 3	FY 2007 Original Funding Allocation	Adjustment 4	FY 2007 Revised Funding Allocation
<b>Purpose Listed in Rider 30</b>							
1. Salary/Other Support Costs-Direct Delivery Staff	61,780,156	1,856,905	(3,555,000)	(1,236,986)	58,845,075	(1,222,060)	57,623,015
2. Regional Screeners	1,851,821				1,851,821		1,851,821
3. Case Records Compliance	644,380				644,380		644,380
4. Functional Units for All Stages of Service	26,664,296	85,083			26,749,379		26,749,379
5. Expanded Training	2,782,359				2,782,359		2,782,359
6. Technology (Mobile Caseworker)	5,692,426			1,236,986	6,929,412	1,222,060	8,151,472
7. Forensic Medical Assessment/Telemedicine	3,804,916				3,804,916		3,804,916
8. IMPACT Modifications	2,199,050				2,199,050		2,199,050
9. Purchased Client Services	21,660,111				21,660,111		21,660,111
10. Salary Package	9,331,987	(1,941,988)	3,555,000		10,944,999		10,944,999
11. Child Safety Specialists	1,064,502				1,064,502		1,064,502
12. Diligent Search & Background Check Staff	189,286				189,286		189,286
13. CPS Management Structure	6,096,964				6,096,964		6,096,964
14. Agency Operations Support	1,059,472				1,059,472		1,059,472
15. Human Resources Contract Cost Increase	378,008				378,008		378,008
16. Foster Care Residential Contract Mgmt/Audits	500,000				500,000		500,000
17. Independent Administrator & Evaluation	1,450,388				1,450,388		1,450,388
18. Contract Oversight/Program Quality Assurance	445,681				445,681		445,681
19. Transition of Agency Staff	0				0		0
20. Foster Care Child Passport	0				0		0
<b>TOTAL, CPS REFORM</b>	<b>147,595,803</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>147,595,803</b>	<b>0</b>	<b>147,595,803</b>
<b>Method of Finance</b>							
Economic Stabilization Fund	118,561,405				118,561,405		118,561,405
Federal Funds, Est.	29,034,398				29,034,398		29,034,398
<b>TOTAL, ALL FUNDS</b>	<b>147,595,803</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>147,595,803</b>	<b>0</b>	<b>147,595,803</b>

**Explanation of Adjustments:**

**Prior Adjustments -**

1. Transfers all funding for 2% of the general state employee pay raise to the first purpose.
2. Transfers all funding for the \$5,000 investigative supplemental pay to the purpose for Salary Package.
3. Transfers all funding for mobile caseworker tablet PCs and accessories to the purpose for Technology (Mobile Caseworker).
4. Transfers additional mobile caseworker tablet PC funding that was inadvertently omitted from the original Adjustment 3.

**Current Adjustments -**

None

**DFPS Rider 30 CPS Reform - FY 2007 Detail of Operating Budget Adjustments**

	FY 2007 Revised Funding Allocation	OPERATING BUDGET ADJUSTMENTS							FY 2006 Operating Budget
		Adj. 1 Employee Pay Raise	Adj. 2 Benefit Replacement Pay	Adj. 3 Capital Budget Carryforward	Adj. 4 Purchased Services Carryforward	Adj. 5 Delayed Costs Carryforward	Adj. 6 Federal Entitlement Adjustment	Total Operating Budget Adjustments	
<b>Purpose Listed in Rider 30</b>									
1. Salary/Other Support Costs-Direct Delivery Staff	57,623,015	1,461,021	350,697			1,216,540	(9,633,526)	(6,605,268)	51,017,747
2. Regional Screeners	1,851,821	65,976	11,503			303,573	(34,779)	346,273	2,198,094
3. Case Records Compliance	644,380	27,249	5,611			200,000	(16,839)	216,021	860,401
4. Functional Units for All Stages of Service	26,749,379	388,996	173,104				139,127	701,227	27,450,606
5. Expanded Training	2,782,359	132,643	14,028			1,307,307	(118,516)	1,335,462	4,117,821
6. Technology (Mobile Caseworker)	8,151,472			4,532,765			(335,133)	4,197,632	12,349,104
7. Forensic Medical Assessment/Telemedicine	3,804,916			85,000				85,000	3,889,916
8. IMPACT Modifications	2,199,050			2,150,350			(163,470)	1,986,880	4,185,930
9. Purchased Client Services	21,660,111				4,753,987		897,128	5,651,115	27,311,226
10. Salary Package	10,944,999						67,202	67,202	11,012,201
11. Child Safety Specialists	1,064,502	43,482	5,611			162,519	3,101	214,713	1,279,215
12. Diligent Search & Background Check Staff	189,286	14,989	1,684			15,223	(493)	31,403	220,689
13. CPS Management Structure	6,096,964	187,551	32,545			71,753	13,083	304,932	6,401,896
14. Agency Operations Support	1,059,472	84,038	7,856			281,140	(7,649)	365,385	1,424,857
15. Human Resources Contract Cost Increase	378,008							-	378,008
16. Foster Care Residential Contract Mgmt/Audits	500,000							-	500,000
17. Independent Administrator & Evaluation	1,450,388					424,777	(35,109)	389,668	1,840,056
18. Contract Oversight/Program Quality Assurance	445,681	13,140	2,105				3,881	19,126	464,807
19. Transition of Agency Staff	-							-	-
20. Foster Care Child Passport	-							-	-
<b>TOTAL, CPS REFORM</b>	<b>147,595,803</b>	<b>2,419,085</b>	<b>604,744</b>	<b>6,768,115</b>	<b>4,753,987</b>	<b>3,982,832</b>	<b>(9,221,992)</b>	<b>9,306,771</b>	<b>156,902,574</b>
<b>Method of Finance</b>									
General Revenue	-	2,050,928	512,572					2,563,500	2,563,500
Economic Stabilization Fund (ESF)	118,561,405		-	5,288,139	4,753,987	3,000,889		13,043,015	131,604,420
Federal Funds, Est.	29,034,398	368,157	92,172	1,479,976		981,943	(9,221,992)	(6,299,744)	22,734,654
<b>TOTAL, ALL FUNDS</b>	<b>147,595,803</b>	<b>2,419,085</b>	<b>604,744</b>	<b>6,768,115</b>	<b>4,753,987</b>	<b>3,982,832</b>	<b>(9,221,992)</b>	<b>9,306,771</b>	<b>156,902,574</b>

**Explanation of Operating Budget Adjustments:**

**Adjustment 1 - General state employee pay raise (no change from prior month's report)**

**Adjustment 2 - Increased funding for Benefit Replacement Pay (no change from prior month's report)**

**Adjustment 3 - FY 2006 carryforward for delayed implementation of capital projects (no change from prior month's report)**

**Adjustment 4 - FY 2006 carryforward for continued purchased client services (no change from prior month's report)**

**Adjustment 5 - FY 2006 carryforward for delayed costs to be incurred in FY 2007, such as furniture and telecommunication costs (no change from prior month's report)**

**Adjustment 6 - Adjustment to federal entitlement funding due primarily to the DRA and the time study results shifting activities from federal entitlement to non-entitlement**

**TANF and IV-B activities (updated for current month's projection)**

**DFPS Rider 30 CPS Reform Expenditure Report by Strategy for FY 2007**  
**Status Report as of November 30, 2006**

	<b>FY 2007 Appropriated</b>	<b>FY 2007 Funding Allocation</b>	<b>Operating Budget Adjustments</b>	<b>FY 2007 Operating Budget</b>	<b>YTD Expenditures</b>	<b>FY 2007 Projected Expense (Annual)</b>	<b>Variance</b>	<b>FY07 Total Approp FTEs</b>	<b>Annual Phase-In</b>	<b>YTD Ave FTEs Filled</b>
<b>DFPS Strategy</b>										
A.1.1 - Statewide Intake	-	1,807,385	176,995	1,984,380	389,318	2,108,440	(124,060)	55.0	55.0	45.8
A.2.1 - Child & Family Services Direct Delivery	-	94,580,198	(6,006,516)	88,573,682	18,108,130	98,309,096	(9,735,414)	2,194.0	1,835.3	1,690.7
A.2.2 - Child & Family Services Program Support	-	9,647,212	5,605,968	15,253,180	3,762,006	16,983,391	(1,730,211)	192.0	180.0	75.2
A.2.3 - TWC Foster Day Care	-	3,582,564	3,457,389	7,039,953	1,051,793	7,343,577	(303,624)	-	-	-
A.2.4 - TWC Protective Day Care	-	4,357,999	-	4,357,999	2,599,187	5,897,316	(1,539,317)	-	-	-
A.2.5 - Adoption Purchased Services	-	1,000,000	-	1,000,000	47,408	700,000	300,000	-	-	-
A.2.6 - Post Adoption Purchased Services	-	-	-	-	-	50,000	(50,000)	-	-	-
A.2.7 - Preparation for Adult Living (PAL) Services	-	200,000	200,000	400,000	10,937	338,313	61,687	-	-	-
A.2.8 - Substance Abuse Purchased Services	-	1,000,000	-	1,000,000	164,346	2,203,115	(1,203,115)	-	-	-
A.2.9 - Other CPS Purchased Services	-	14,520,364	1,971,424	16,491,788	986,437	12,795,135	3,696,653	-	-	-
A.4.1 - Child Care Regulation	-	2,886,731	603,256	3,489,987	689,786	3,620,686	(130,699)	63.0	63.0	63.5
B.1.1 - Central Administration	-	421,354	135,594	556,948	110,522	624,299	(67,351)	11.0	11.0	10.9
B.1.4 - IT Program Support	-	6,338,627	213,067	6,551,694	210,224	6,537,148	14,546	8.0	8.0	8.0
B.1.5 - Agency-wide Automation Systems	-	6,375,361	2,949,594	9,324,955	804,532	9,324,955	-	-	-	-
C.1.1 - CPS Reform	147,595,803	-	-	-	-	-	-	-	-	-
HHSC	-	878,008	-	878,008	-	878,008	-	-	-	-
<b>TOTAL, CPS REFORM</b>	<b>147,595,803</b>	<b>147,595,803</b>	<b>9,306,771</b>	<b>156,902,574</b>	<b>28,934,625</b>	<b>167,713,479</b>	<b>(10,810,905)</b>	<b>2,523.0</b>	<b>2,152.3</b>	<b>1,894.0</b>
<b>Method of Finance</b>										
General Revenue	-	-	2,563,500	2,563,500	-	2,563,500	-			
Economic Stabilization Fund (ESF)	118,561,405	118,561,405	13,043,015	131,604,420	-	142,415,325	(10,810,905)			
Federal Funds, Est.	29,034,398	29,034,398	(6,299,744)	22,734,654	-	22,734,654	-			
<b>TOTAL, ALL FUNDS</b>	<b>147,595,803</b>	<b>147,595,803</b>	<b>9,306,771</b>	<b>156,902,574</b>	<b>-</b>	<b>167,713,479</b>	<b>(10,810,905)</b>			

**Explanation of Variances:**

The variance reflects the anticipated shortfall due to the Deficit Reduction Act (DRA) and the updated time study results shifting activities from federal entitlement to non-entitlement TANF and IV-B activities.

# DFPS Rider 30 CPS Reform Expenditure Plan for FY 2007

## FTE Detail

	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Annual Ave
	Quarter 1			Quarter 2			Quarter 3			Quarter 4			
<b>Purpose Listed in Rider 30</b>													
<b>1. Direct Delivery Staff Phased-in Staffing Plan</b>	1,012.0	1,012.0	1,012.0	1,183.0	1,183.0	1,183.0	1,351.0	1,351.0	1,351.0	1,519.0	1,519.0	1,519.0	1,266.3
<b>FTEs Filled</b>	1,079.8	1,121.4	1,132.1										1,111.1
<b>2. Regional Screeners Staffing Plan</b>	41.0	41.0	41.0	41.0	41.0	41.0	41.0	41.0	41.0	41.0	41.0	41.0	41.0
<b>FTEs Filled</b>	37.6	37.8	39.0										38.1
<b>3. Case Records Compliance Staffing Plan</b>	20.0	20.0	20.0	20.0	20.0	20.0	20.0	20.0	20.0	20.0	20.0	20.0	20.0
<b>FTEs Filled</b>	12.0	11.5	11.0										11.5
<b>4. Functional Units Phased-in Staffing Plan</b>	477.0	477.0	477.0	571.0	571.0	571.0	665.0	665.0	665.0	758.0	758.0	758.0	617.8
<b>FTEs Filled</b>	543.0	581.7	598.7										574.5
<b>5. Expanded Training Staffing Plan</b>	50.0	50.0	50.0	50.0	50.0	50.0	50.0	50.0	50.0	50.0	50.0	50.0	50.0
<b>FTEs Filled</b>	47.0	47.0	45.5										46.5
<b>11. Child Safety Specialists Staffing Plan</b>	20.0	20.0	20.0	20.0	20.0	20.0	20.0	20.0	20.0	20.0	20.0	20.0	20.0
<b>FTEs Filled</b>	20.0	19.7	19.4										19.7
<b>12. Diligent Search and Background Check Staffing Plan</b>	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0	6.0
<b>FTEs Filled</b>	6.0	5.6	6.0										5.9
<b>13. CPS Management Structure Staffing Plan</b>	116.0	116.0	116.0	116.0	116.0	116.0	116.0	116.0	116.0	116.0	116.0	116.0	116.0
<b>FTEs Filled</b>	83.4	86.5	88.4										86.1
<b>14. Agency Operations Support Staffing Plan</b>	28.0	28.0	28.0	28.0	28.0	28.0	28.0	28.0	28.0	28.0	28.0	28.0	28.0
<b>FTEs Filled</b>	23.0	22.5	24.3										23.3
<b>18. Contract Oversight/Program Quality Assurance Staffing Plan</b>	0.0	0.0	0.0	10.0	10.0	10.0	10.0	10.0	10.0	10.0	10.0	10.0	7.5
<b>FTEs Filled</b>	0.0	0.0	0.0										0.0
<b>19. Transition of Agency Staff Phase-out Plan</b>	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	(45.0)	(45.0)	(45.0)	(11.3)
<b>FTEs Filled</b>	0.0	0.0	0.0										0.0
<b>Total CPS Reform</b>													
<b>Staffing Plan</b>	1,770.0	1,770.0	1,770.0	2,045.0	2,045.0	2,045.0	2,307.0	2,307.0	2,307.0	2,523.0	2,523.0	2,523.0	2,161.3
<b>FTEs Filled</b>	1,851.7	1,933.8	1,964.2	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	1,916.6
<b>Percent Achievement of Staffing Plan</b>	105%	109%	111%	0%	0%	0%	0%	0%	0%	0%	0%	0%	89%

**DFPS Rider 30 Report on CPS Recruitment, Retention, and Workload for FY 2007**  
**Status Report as of November 30, 2006**

	Sept	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Annual Ave
	Quarter 1			Quarter 2			Quarter 3			Quarter 4			
<b>All Regional CPS Positions</b>													
Quarterly Goal	6,565.1	6,565.1	6,565.1	6,831.1	6,831.1	6,831.1	7,049.1	7,049.1	7,049.1	7,306.1	7,306.1	7,306.1	6,937.9
Year-to-date Average FTEs Filled	6,160.3	6,294.4	6,428.1										6,294.3
Attainment of Quarterly Goal	93.8%	95.9%	97.9%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	90.7%
Vacancy Rate	6.2%	4.1%	2.1%										4.1%
Average Length of Vacancy (in Days)	76.4	101.8	111.3										96.5
Turnover*	2.2%	1.8%	1.4%										5.4%
<b>All CPS Caseworker Positions</b>													
Quarterly Goal	3,867.0	3,867.0	3,867.0	3,983.0	3,983.0	3,983.0	4,099.0	4,099.0	4,099.0	4,216.0	4,216.0	4,216.0	4,041.3
Year-to-date Average FTEs Filled	3,506.0	3,591.4	3,655.9										3,584.5
Attainment of Quarterly Goal	90.7%	92.9%	94.5%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	88.7%
Vacancy Rate	9.3%	7.1%	5.5%										7.3%
Average Length of Vacancy (in Days)	65.5	90.1	104.4										86.7
Turnover*	3.1%	2.7%	1.8%										7.6%
<b>Year-to-date Average CPS Caseworker Terminations</b>													
In 3 months or less (FY 2006)													6.2%
In 3 months or less (FY 2007)													3.9%
Percent Difference													
In 3-6 months (FY 2006)													4.8%
In 3-6 months (FY 2007)													0.0%
Percent Difference													
<b>CPS Average Caseload Per Worker</b>													
Investigation Daily Goal	28.6	31.8	32.4	28.8	27.4	27.6	27.5	29.3	30.3	28.0	25.4	23.9	28.3
Actual	27.8	28.6	28.7										28.4
Family Based Safety Services Daily Goal	22.3	23.1	23.8	23.5	24.1	24.8	25.0	25.7	26.1	26.4	26.7	26.6	24.9
Actual	20.3	20.7	21.0										20.7
Substitute Care Daily Goal	47.5	48.1	48.4	46.2	46.5	46.7	45.5	46.0	46.0	46.9	47.1	47.8	46.9
Actual	44.2	44.4	45.1										44.6
Foster and Adoptive Development Daily Goal	24.2	21.4	19.8	19.4	20.3	23.4	24.4	23.5	23.6	22.8	23.0	23.2	22.4
Actual	31.9	31.2	31.9										31.7

\* Turnover is reflected as a monthly calculation. The annual turnover will reflect a cumulative total of all months.